

Kelly-Anne Trenfield	Senior Managing Director	06-Oct-20	0.30	720	216.00	Administration	Update remuneration tables to 30 September 2020 re remuneration approval	Remuneration
Kelly-Anne Trenfield	Senior Managing Director	07-Oct-20	0.10	720	72.00	Administration	Email/judgement re bellpac costs	Legal
John Park	Senior Managing Director	07-Oct-20	0.10	720	72.00	Administration	Email in Mille Russell.	Legal
Renee Lobb	Managing Director	13-Oct-20	0.30	660	198.00	Investigations	Reviewing emails regarding costs judgment and judgment to be handed down. Save documents to file. Review insurance limit update and save to file.	Legal
Kelly-Anne Trenfield	Senior Managing Director	15-Oct-20	0.10	720	72.00	Administration	Review incoming documentation, consider and send to filing - Whyte remuneration judgement; Russells client agreement	Legal
Kelly-Anne Trenfield	Senior Managing Director	16-Oct-20	0.20	720	144.00	Administration	Emails/judgement re Bdo fee approvalreview orders re approval of whyte remuneration	Receivership
Kelly-Anne Trenfield	Senior Managing Director	19-Oct-20	0.10	720	72.00	Administration	Email in from resells re letter from Gadens on behalf of whyte re amendment to undertakings re B&R - EY proceedings	Legal
John Park	Senior Managing Director	19-Oct-20	0.10	720	72.00	Administration	Email in Millie Russell.	Legal
Kelly-Anne Trenfield	Senior Managing Director	20-Oct-20	0.20	720	144.00	Administration	Email in from Millie Russell re FMIF remuneration approval for BDORReview incoming documentation, consider and send to filing	Receivership
Renee Lobb	Managing Director	21-Oct-20	0.20	660	132.00	Investigations	Review emails regarding amendment to records disclosure agreement by Whyte. Save documents to EY proceeding folder Review Kelly-Anne Trenfield's subsequent reply.	Legal
Renee Lobb	Managing Director	23-Oct-20	0.10	660	66.00	Investigations	Review and save correspondence from Russells to Gadens regarding service and EY proceeding records agreement changes.	Legal
					<b>\$ 166,056.00</b>			

**SUPREME COURT OF QUEENSLAND**

REGISTRY: Brisbane  
NUMBER: BS3508/2015

**IN THE MATTER OF LM INVESTMENT MANAGEMENT LIMITED (IN LIQUIDATION) (RECEIVERS AND MANAGERS APPOINTED)**

First Applicant: **JOHN RICHARD PARK AS LIQUIDATOR OF LM INVESTMENT MANAGEMENT LIMITED (IN LIQUIDATION) (RECEIVERS AND MANAGERS APPOINTED) ACN 007 208 461 THE RESPONSIBLE ENTITY OF THE LM FIRST MORTGAGE INCOME FUND ARSN 089 343 288**

**AND**

Second Applicant: **LM INVESTMENT MANAGEMENT LIMITED (IN LIQUIDATION) (RECEIVERS AND MANAGERS APPOINTED) ACN 077 208 461 THE RESPONSIBLE ENTITY OF LM FIRST MORTGAGE INCOME FUND ARSN 089 343 288**

**AND**

First Respondent: **DAVID WHYTE AS THE PERSON APPOINTED TO SUPERVISE THE WINDING UP OF THE LM FIRST MORTGAGE INCOME FUND ARSN 089 343 288 PURSUANT TO SECTION 601NF OF THE CORPORATIONS ACT 2001**

**AND**

Second Respondent: **SAID JAHANI IN HIS CAPACITY AS RECEIVER AND MANAGER OF THE ASSETS, UNDERTAKING, RIGHTS AND INTERESTS OF LM INVESTMENT MANAGEMENT LIMITED (IN LIQUIDATION) (RECEIVERS AND MANAGERS APPOINTED) ACN 077 208 461 AS THE RESPONSIBLE ENTITY OF THE LM CURRENCY PROTECTED AUSTRALIAN INCOME FUND ARSN 110 247 875 AND THE LM INSTITUTIONAL CURRENCY PROTECTED AUSTRALIAN INCOME FUND ARSN 122 052 868**

**CERTIFICATE OF EXHIBIT**

Part 2 of 2 (pages 161 - 308) of the exhibit "**KAT-12**" to the affidavit of **KELLY ANNE LAVINIA TRENFIELD** sworn on 23 December 2020

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**CERTIFICATE OF EXHIBIT**  
Filed on behalf of the Applicants

**Russells**  
Level 18, 300 Queen Street  
Brisbane QLD 4000  
Tel: (07) 3004 8888  
Fax: (07) 3004 8899  
Ref: MKR:20190205

**LM First Mortgage Income Fund: Calculation of Category 1 July 2018 to 31 October 2020**

Employee	Position	Rate/hour excl GST \$	Total actual hours	Total (excl GST) \$	Administration \$	Assets \$	Creditors \$	Dividend \$	Investigations \$	Trade On \$
Ashleigh Ubank	Administration 2	185 205	1.00 0.40	267.00	82.00		185.00			
John Park	Senior Managing Director	625 695 720	6.60 6.00 21.80	23,991.00	23,991.00					
Kelly-Anne Trenfield	Senior Managing Director	625 695 720	105.60 12.40 23.10	91,250.00	79,356.50		7,141.50		4,752.00	
Neil Dempster	Senior Consultant 1 Senior Consultant 2	380 465	0.60 0.50	460.50			460.50			
Renee Lobb	Senior Director Managing Director Managing Director	570 580 625 660	45.10 0.50 17.40 19.90	50,006.00	4,137.50	250.00	19,928.00		24,990.00	700.50
Talia Glover	Administration 1 Administration 2	155 220	0.10 0.20	59.50	59.5					
Tanya Kratz	Administration 2	220	0.10	22.00	22.00					
<b>Subtotal</b>			<b>261.30</b>	<b>166,056.00</b>	<b>107,648.50</b>	<b>250.00</b>	<b>27,715.00</b>	<b>0.00</b>	<b>29,742.00</b>	<b>700.50</b>
<b>GST</b>				<b>16,605.60</b>						
<b>Total (including GST)</b>				<b>182,661.60</b>						
<b>Hours</b>				<b>261.30</b>	<b>164.70</b>	<b>0.40</b>	<b>48.40</b>	<b>0.00</b>	<b>46.60</b>	<b>1.20</b>

LM Australian Income Fund Liquidator's Remuneration - 1 July 2018 to 31 October 2020								
Name	Position	Date	Hrs	Charge out Rate	Charge	Task Desc	Narrative	Activity
Talia Glover	Administration 1	09-Jul-18	0.10	155	15.50	Administration	Produced excel WIP report from 011015 to 300618 - emailed to KAT	Remuneration
Kelly-Anne Trenfield	Senior Managing Director	09-Jul-18	0.30	625	187.50	Administration	update schedules for fee application; update remuneration schedules re approval application; prepare ARITA schedules	Remuneration
Renee Lobb	Senior Director	10-Jul-18	0.20	570	114.00	Trade-On	Respond to request for fund update. provide same.	Investors
Kelly-Anne Trenfield	Senior Managing Director	10-Jul-18	0.10	625	62.50	Administration	finalise updated schedule to 30 June 2018 re remuneration approval	Remuneration
Renee Lobb	Senior Director	12-Jul-18	0.20	570	114.00	Trade-On	Reviewing invoices, saving to file and adding to schedule.	Fund Administration
Renee Lobb	Senior Director	15-Jul-18	0.20	570	114.00	Trade-On	Respond to investor query regarding fund updates provide same.	Investors
Renee Lobb	Senior Director	16-Jul-18	0.30	570	171.00	Trade-On	Adding outstanding invoices to register. Make payments for same. Send to CCS payments for processing.	Fund Administration
Renee Lobb	Senior Director	24-Jul-18	0.10	570	57.00	Trade-On	Looking for ITRS documentation. Confirm not to hand.	Administration
Renee Lobb	Senior Director	25-Jul-18	0.30	570	171.00	Trade-On	Reviewing register for the fund to send notice of remuneration application. removing email duplicates and postage duplicates after separating email and post only investors.	Investors
Ashleigh Ubank	Administration 2	26-Jul-18	0.20	185	37.00	Administration	Mailing labels generated from investor list. Notice formatted on letterhead.	Investors
Renee Lobb	Senior Director	26-Jul-18	0.70	570	399.00	Trade-On	Do notice to members for remuneration application. send to Russells for review and discuss notice issuing with Kelly-Anne Trenfield and Ashleigh Ubank. Finalising notice. emailing to members and assisting Ashleigh Ubank with mail out.	Remuneration
Renee Lobb	Senior Director	27-Jul-18	0.10	570	57.00	Trade-On	Respond to investor query email regarding remuneration application.	Investors
Renee Lobb	Senior Director	30-Jul-18	0.20	570	114.00	Trade-On	Respond to investor email with update. Further investor enquiry response.	Investors
Renee Lobb	Senior Director	30-Jul-18	0.80	570	456.00	Trade-On	Registering returned emails from remuneration application notice sent. Mark up to be sent via post.	Remuneration
Ashleigh Ubank	Administration 2	31-Jul-18	0.20	185	37.00	Administration	Mailing labels generated from investor list.	Investors
Renee Lobb	Senior Director	31-Jul-18	0.20	570	114.00	Trade-On	Respond to investor email regarding remuneration application.	Investors
Renee Lobb	Senior Director	01-Aug-18	0.30	570	171.00	Investigations	Reviewing documentation on asset realisations between 01/10/15 and 30/06/18 for affidavit. summarise same and give to Kelly-Anne Trenfield.	Remuneration
Renee Lobb	Senior Director	01-Aug-18	0.30	570	171.00	Trade-On	Call with investor. provide background on fund winding up and timing. Explain transfer process.	Investors
Neil Dempster	Senior Consultant 1	02-Aug-18	0.10	380	38.00	Creditors	Email to Utmost re July circular	Investors
Neil Dempster	Senior Consultant 1	03-Aug-18	0.10	380	38.00	Creditors	Email to Citi bank re redemptions	Investors

Renee Lobb	Senior Director	07-Aug-18	0.20	570	114.00	Trade-On	Review ATO refund disallowance correspondence. Sent to WMS for new reconciliation on amounts receivable and payable.	Tax
Renee Lobb	Senior Director	09-Aug-18	0.10	570	57.00	Trade-On	Respond to investor query email.	Investors
Renee Lobb	Senior Director	10-Aug-18	0.10	570	57.00	Trade-On	Respond to investor update details and fund update request.	Investors
Renee Lobb	Senior Director	10-Aug-18	0.10	570	57.00	Trade-On	Review email regarding BAS's and refund request. provide requested information for June Qtr BAS.	Tax
Renee Lobb	Senior Director	10-Aug-18	0.10	570	57.00	Trade-On	Payment to advanced share. update register for payment.	Fund Administration
Renee Lobb	Senior Director	13-Aug-18	0.20	570	114.00	Trade-On	Download CSV account statement files. Send to WMS.	Audit
Renee Lobb	Senior Director	14-Aug-18	0.10	570	57.00	Trade-On	Respond to investor update request.	Investors
Renee Lobb	Senior Director	16-Aug-18	0.60	570	342.00	Administration	Review and mark up changes to FY 15 financials draft. Send back to WMS for review.	Audit
Renee Lobb	Senior Director	16-Aug-18	0.20	570	114.00	Administration	Call the atom and leave message to return call regarding AIF.	Tax
Renee Lobb	Senior Director	17-Aug-18	0.10	570	57.00	Trade-On	Review and respond to email from investor requesting update.	Investors
Renee Lobb	Senior Director	17-Aug-18	0.20	570	114.00	Administration	Call with ATO regarding refund request.	Tax
Renee Lobb	Senior Director	17-Aug-18	0.10	570	57.00	Trade-On	Send email with signed June Qtr bas to WMS.	Tax
Renee Lobb	Senior Director	21-Aug-18	0.10	570	57.00	Trade-On	Respond to investor update and transaction statement request.	Investors
Renee Lobb	Senior Director	21-Aug-18	0.20	570	114.00	Trade-On	Respond to investor query regarding AIF x 2	Investors
Renee Lobb	Senior Director	22-Aug-18	0.20	570	114.00	Trade-On	Respond to investor query. provide units held details.	Investors
Renee Lobb	Senior Director	23-Aug-18	0.20	570	114.00	Trade-On	Respond to request for unit holdings. request investor account numbers.	Investors
Renee Lobb	Senior Director	23-Aug-18	0.50	570	285.00	Trade-On	Call with WMS regarding queries about payments from AIF in line with First Mortgage Income Fund orders and fund reimbursements along with controllership fees. Review file and request assistance in locating supporting documents from Kelly-Anne Trenfield. Discussion with Kelly-Anne Trenfield on same. Further call and email to WMS to clear up queries.	Audit
Renee Lobb	Senior Director	23-Aug-18	0.20	570	114.00	Administration	Filing all sent emails in email public folders.	Administration
Renee Lobb	Senior Director	24-Aug-18	0.60	570	342.00	Trade-On	Trade on - respond to investor email request for update.trade on - reviewing email re remuneration information needed for AIF. Locate all November and December 2017 documents relating to movement of funds from AIF to LM and for remuneration.trade on - further response to investor re audits.	Investors
Renee Lobb	Senior Director	24-Aug-18	0.10	570	57.00	Trade-On	Trade on - payment of perpetual custody fees. Update schedule of fund payables.	Fund Administration
Neil Dempster	Senior Consultant 1	27-Aug-18	0.10	380	38.00	Administration	Confirm unit holdings for Old mutual	Investors
Ashleigh Ubank	Administration 2	30-Aug-18	0.10	185	18.50	Administration	RTS. New addresses searched for. Results recorded in MYOB. .	Administration
Renee Lobb	Senior Director	03-Sep-18	0.30	570	171.00	Trade-On	Respond in detail to distribution timing and percentages query.	Fund Administration

Renee Lobb	Senior Director	04-Sep-18	0.20	570	114.00	Trade-On	Respond to multiple queries regarding transfer process.	Fund Administration
Renee Lobb	Senior Director	04-Sep-18	0.10	570	57.00	Trade-On	Save down WMS invoice requiring payment. updating registers and arranging payment via Suncorp.	Fund Administration
Renee Lobb	Senior Director	04-Sep-18	0.20	570	114.00	Trade-On	Review enquiry to Advanced share regarding annual reports and other information on AIF. Respond.	Fund Administration
Renee Lobb	Senior Director	04-Sep-18	0.10	570	57.00	Trade-On	Review detailed queries about transfer process. Answer email including 6 questions contained therein.	Fund Administration
Ashleigh Ubank	Administration 2	06-Sep-18	0.10	185	18.50	Administration	RTS. New addresses searched for. Results recorded in MYOB. Report reissued.	Administration
Renee Lobb	Senior Director	06-Sep-18	0.10	570	57.00	Trade-On	Changing billing information on Xero to ensure separate fund invoice received.	Fund Administration
Renee Lobb	Senior Director	11-Sep-18	0.30	570	171.00	Trade-On	Pay Advanced Share Registry invoice. Update registers for same. Respond to investor update request.	Investors
Renee Lobb	Senior Director	14-Sep-18	0.10	570	57.00	Trade-On	Review email from WMS regarding requirement to lodge tax returns. Send back comments on same to determine if this is a required step before we can finalise the fund.	Tax
Renee Lobb	Senior Director	14-Sep-18	1.00	570	570.00	Trade-On	Drafting NAV, calculate AUD equivalent units as at 30 June 2018 using ATO rates. Complete draft update to investors to advise fund progress.	Investors
Kelly-Anne Trenfield	Senior Managing Director	17-Sep-18	0.10	625	62.50	Administration	Review investor update	Investors
Renee Lobb	Senior Director	18-Sep-18	0.20	570	114.00	Trade-On	Follow up advanced share after confirming investor enquiry is in regards to AIF.	Investors
Renee Lobb	Senior Director	18-Sep-18	0.10	570	57.00	Trade-On	Edits to fund updates in line with KATs comments. send revised version and request confirmation they are okay to finalise.	Fund Administration
Kelly-Anne Trenfield	Senior Managing Director	18-Sep-18	0.10	625	62.50	Administration	Review, amend and sign RTC	Fund Administration
Renee Lobb	Senior Director	19-Sep-18	0.30	570	171.00	Trade-On	Call with investor regarding accounts held and failure by advanced share registry to action transfer.	Investors
Renee Lobb	Senior Director	19-Sep-18	0.40	570	228.00	Trade-On	Email to WMS following up on tax matter progress, Obtain same and update report to investors accordingly. Further updates throughout the day based on Kelly-Anne Trenfield and John Park feedback. Instructions to Ashleigh Ubank to finalise. Post to website.	Investors
Renee Lobb	Senior Director	20-Sep-18	0.80	570	456.00	Trade-On	Call with Advanced share Sydney and Perth to discuss significant service issues and specific transfer requests which have been forwarded to our office when they are AIF. discuss issues in detail to ascertain how to resolve. send follow on email to investors in question.	Investors
Kelly-Anne Trenfield	Senior Managing Director	20-Sep-18	0.10	625	62.50	Administration	RCL re update on register	Fund Administration
Renee Lobb	Senior Director	21-Sep-18	0.20	570	114.00	Trade-On	Responding to transfer information request.	Fund Administration
Renee Lobb	Senior Director	24-Sep-18	0.10	570	57.00	Trade-On	Attend to query regarding update posted last week.	Investors
Renee Lobb	Senior Director	25-Sep-18	0.10	570	57.00	Administration	Scan and send to WMS AIF paper bas received.	Tax
Neil Dempster	Senior Consultant 1	27-Sep-18	0.10	380	38.00	Creditors	Email Rosemary Yates direct to website re query	Investors

Renee Lobb	Senior Director	02-Oct-18	0.20	570	114.00	Trade-On	Respond to investor enquiry regarding fund contact and query.	Investors
Renee Lobb	Senior Director	02-Oct-18	0.10	570	57.00	Administration	Expense claim for xero access	Fund Administration
Renee Lobb	Senior Director	03-Oct-18	0.20	570	114.00	Trade-On	Respond to investor queries on distribution and audit timing. Direct to update.	Investors
Renee Lobb	Senior Director	04-Oct-18	0.20	570	114.00	Trade-On	Review fax received. look up investors and note they are for AIF and it was a transfer request. Respond requesting transfer be send to Advanced Share.	Investors
Neil Dempster	Senior Consultant 1	04-Oct-18	0.20	380	76.00	Creditors	Discuss remuneration hearing with RCL and how to respond to investor rep. return email to David Cherry we application - not yet finalised.	Investors
Renee Lobb	Senior Director	08-Oct-18	0.30	570	171.00	Trade-On	Respond to investor update request. provide registry details Other emails to investors regarding transfers. provide contact details.	Investors
Ashleigh Ubank	Administration 2	08-Oct-18	0.10	185	18.50	Creditors	RTS. New addresses searched for. Results recorded in MYOB. Report reissued.	Investors
Kelly-Anne Trenfield	Senior Managing Director	09-Oct-18	0.10	625	62.50	Creditors	PODS - Adjudication and classification of PODS	PODS
Renee Lobb	Senior Director	10-Oct-18	0.10	570	57.00	Trade-On	PAY AIF invoices	Fund Administration
Renee Lobb	Senior Director	11-Oct-18	0.40	570	228.00	Trade-On	Call with Josh from WMS. Go through audit queries.	Audit
Renee Lobb	Senior Director	11-Oct-18	0.20	570	114.00	Trade-On	Review of FY 15 final draft audit. Review fee notes and raise query.	Audit
Neil Dempster	Senior Consultant 1	11-Oct-18	0.10	380	38.00	Creditors	Email to Francesca Sant direct to website for update	Investors
Renee Lobb	Senior Director	12-Oct-18	0.20	570	114.00	Trade-On	Call with Evan from TNR regarding audit process and queries.	Audit
Renee Lobb	Senior Director	15-Oct-18	0.50	570	285.00	Trade-On	Call with investor. Answer detailed queries, locate distribution 2016 amount with OMI and advise figures in AUD and calculation methodology. Answer questions about transfer into individual names.	Investors
Renee Lobb	Senior Director	18-Oct-18	0.20	570	114.00	Trade-On	Review, amend, save and print of letterhead management representation letter. Give to John Park to sign.	Audit
Renee Lobb	Senior Director	22-Oct-18	0.10	570	57.00	Trade-On	Reviewing financials. print and send to John Park for signing. send to WMS and TNR onc3e executed.	Audit
Renee Lobb	Senior Director	22-Oct-18	0.10	570	57.00	Trade-On	Can, save and email management representation letter to auditors.	Audit
Renee Lobb	Senior Director	24-Oct-18	0.10	570	57.00	Administration	Filing all sent funds related emails.	Administration
Ashleigh Ubank	Administration 2	24-Oct-18	0.10	185	18.50	Administration	RTS. New addresses searched for. Results recorded in MYOB. Report reissued.	Administration
Renee Lobb	Senior Director	25-Oct-18	0.20	570	114.00	Trade-On	Review and respond to email requesting update and details on unit holding.	Investors
Renee Lobb	Senior Director	30-Oct-18	0.10	570	57.00	Trade-On	Respond to investor unit holding enquiry.	Investors
Renee Lobb	Senior Director	01-Nov-18	0.10	570	57.00	Trade-On	Respond to investor request for update.	Investors
Renee Lobb	Senior Director	05-Nov-18	0.20	570	114.00	Trade-On	Respond to email from investor regarding fund wind up progress.	Investors
Renee Lobb	Senior Director	06-Nov-18	0.20	570	114.00	Trade-On	Respond to investor update request.	Investors
Neil Dempster	Senior Consultant 1	06-Nov-18	0.10	380	38.00	Administration	Email to advanced request copy of register	Investors
Renee Lobb	Senior Director	07-Nov-18	0.10	570	57.00	Trade-On	Respond to investor request for update.	Investors

Neil Dempster	Senior Consultant 1	08-Nov-18	0.30	380	114.00	Creditors	Discuss with RCL re process for service to investors	Investors
Neil Dempster	Senior Consultant 1	08-Nov-18	0.10	380	38.00	Creditors	Follow up email to Advanced for up to provide up to date copy of the register	Investors
Neil Dempster	Senior Consultant 1	09-Nov-18	0.80	380	304.00	Creditors	Time spent on preparing for an conducting email and mail out of notice to members re application for LMIM future conduct	Investors
Renee Lobb	Senior Director	12-Nov-18	0.20	570	114.00	Trade-On	Respond to investor query regarding return.	Investors
Neil Dempster	Senior Consultant 1	12-Nov-18	1.60	380	608.00	Creditors	Record bouncebacks from 9.11.2018 email blast x30 7x response to emails	Investors
Ashleigh Ubank	Administration 2	13-Nov-18	0.30	185	55.50	Administration	Follow up service affidavit circulars issued via post to returned emails.	Legal
Renee Lobb	Senior Director	13-Nov-18	0.20	570	114.00	Trade-On	Make payment to advanced share, send to CCs payments to release and update register.	Fund Administration
Renee Lobb	Senior Director	13-Nov-18	0.10	570	57.00	Trade-On	Follow up WMS for audit.	Audit
Kelly-Anne Trenfield	Senior Managing Director	16-Nov-18	0.10	625	62.50	Administration	Review audit report and request finalisation	Audit
Neil Dempster	Senior Consultant 1	16-Nov-18	0.10	380	38.00	Creditors	Direct Lisa Matijevic to advanced for register update	Investors
Neil Dempster	Senior Consultant 1	18-Nov-18	0.30	380	114.00	Creditors	Attend Russells office and work with Julian Walsh to finalise service affidavit	Legal
Kelly-Anne Trenfield	Senior Managing Director	20-Nov-18	0.10	625	62.50	Administration	Review lodgement criteria; instructions to AU; review asci requirements and email to WMS re lodgement as unable to lodge online/via paper lodgement	Audit
Neil Dempster	Senior Consultant 1	25-Nov-18	0.20	380	76.00	Administration	Do form 5137 draft note to KAT re appointment date	Audit
Neil Dempster	Senior Consultant 1	26-Nov-18	0.20	380	76.00	Administration	Scan copy of form 5137 to file note RCL has appeared to have drafted - was it submitted?	Audit
Kelly-Anne Trenfield	Senior Managing Director	26-Nov-18	0.10	625	62.50	Administration	Review and add appt date to F5137 re appointment of auditors; emails in re audit lodgement	Audit
Neil Dempster	Senior Consultant 1	28-Nov-18	0.10	380	38.00	Administration	Take form 5137 to ASIC get time stamped	Audit
Renee Lobb	Senior Director	30-Nov-18	0.10	570	57.00	Trade-On	Reviewing emails regarding audit lodgements and change of auditor forms. File same.	Audit
Renee Lobb	Senior Director	30-Nov-18	0.10	570	57.00	Trade-On	Respond to investor query. direct to fund update.	Investors
Renee Lobb	Senior Director	30-Nov-18	0.20	570	114.00	Trade-On	Reviewing email regarding audit ID documentation required for John Park. call and leave message for new audit contact also.	Audit
Renee Lobb	Senior Director	30-Nov-18	0.20	570	114.00	Trade-On	Responding to investor queries. provide contact details for Advanced.	Investors
Renee Lobb	Senior Director	03-Dec-18	0.10	570	57.00	Trade-On	Saving final 2015 audit.	Audit
Renee Lobb	Senior Director	05-Dec-18	0.20	570	114.00	Trade-On	Payment to perpetual. upload payment, email to release and update register. Reviewing email regarding FY 16 financials and respond to WMS.	Fund Administration
Ashleigh Ubank	Administration 2	05-Dec-18	0.10	185	18.50	Creditors	RTS. New addresses searched for. Results recorded in MYOB. Report reissued.	Investors
Ashleigh Ubank	Administration 2	06-Dec-18	0.10	185	18.50	Administration	RTS. New addresses searched for. Results recorded in MYOB. Report reissued.	Administration
Renee Lobb	Senior Director	06-Dec-18	0.20	570	114.00	Trade-On	Downloading CSV file for FY 18/19 audit. Send to WMS. Save FY 15 finalised financials also.	Audit
Renee Lobb	Senior Director	07-Dec-18	0.20	570	114.00	Trade-On	Call with WMS regarding tax lodgements and status of audit lodgements.	Audit



Renee Lobb	Senior Director	07-Dec-18	0.10	570	57.00	Trade-On	Review and give to John Park for signing Sept Qtr 18 BAS.	Tax
Renee Lobb	Senior Director	10-Dec-18	0.10	570	57.00	Trade-On	Issue BAS to WMS for lodgement.	Tax
Renee Lobb	Senior Director	11-Dec-18	0.10	570	57.00	Administration	Filing sent emails.	Administration
Renee Lobb	Senior Director	11-Dec-18	0.10	570	57.00	Trade-On	Following up bank confirmation ID requirements of CBA for audits.	Audit
Renee Lobb	Senior Director	12-Dec-18	0.10	570	57.00	Administration	Filing all sent emails for records.	Administration
Renee Lobb	Senior Director	12-Dec-18	0.20	570	114.00	Trade-On	Discuss audit lodgements with Kelly-Anne Trenfield. Follow up TNR and WMS for FY 16 edits and FY 17 and FY 18 lodgement time frames to be met going forward.	Audit
Renee Lobb	Senior Director	12-Dec-18	0.10	570	57.00	Trade-On	Updating funds payable register for invoices received and paid today. Load payment to Suncorp and send to CCS payments to release.	Fund Administration
Renee Lobb	Senior Director	13-Dec-18	1.40	570	798.00	Trade-On	Discussions with Kelly-Anne Trenfield regarding audit completion time frames and progress thus far. Emails regarding same. Review, make comments, additions, and amendments to draft FY 16 audit and return to WMS. Responses to TNR regarding timing for further audits and information required from FTI presently.	Audit
Renee Lobb	Senior Director	14-Dec-18	0.10	570	57.00	Trade-On	Review and respond to transfer request. Confirm it is to be actioned by Advanced share.	Fund Administration
Renee Lobb	Senior Director	14-Dec-18	0.40	570	228.00	Trade-On	Reviewing AIF audit query responses. Request confirmation audit is okay to be signed. Review email and take voicemail from Geoff Dwyer. Return call and leave message.	Audit
Renee Lobb	Senior Director	14-Dec-18	0.10	570	57.00	Trade-On	Review and respond to email from auditors regarding bank audit confirmation/certificate after receipt of CBA correspondence.	Audit
Kelly-Anne Trenfield	Senior Managing Director	14-Dec-18	0.10	625	62.50	Administration	Emails in/out with WMS and auditors re status of funds and tax obligations	Audit
Renee Lobb	Senior Director	17-Dec-18	0.20	570	114.00	Trade-On	Respond to transfer queries. provide relevant roles of FTI and Advanced Share.	Fund Administration
Renee Lobb	Senior Director	18-Dec-18	0.10	570	57.00	Trade-On	Review and respond to email regarding Suncorp audit confirmation. Advise of our contact.	Audit
Renee Lobb	Senior Director	19-Dec-18	0.20	570	114.00	Trade-On	Reviewing final audit documents and respond to auditors on timing. Send to John Park for authority to finalise to enable pre-Christmas lodgement.	Audit
Tanya Kratz	Administration 2	20-Dec-18	0.60	185	111.00	Administration	Amend and finalise documents as requested.	Administration
Renee Lobb	Senior Director	20-Dec-18	0.50	570	285.00	Trade-On	Review email from John Park with approvals. Send to TK with instructions to get audit finalised. Send documentation to auditors finalised for lodgement. save auditor final copies. Review email regarding 5137's and audit lodgements through the liquidator portal. Check options available. Request Neil Dempster to follow up tomorrow to find a solution.	Audit
Renee Lobb	Senior Director	21-Dec-18	0.20	570	114.00	Trade-On	Review fund invoice for audits. Email Kelly-Anne Trenfield regarding payment timing. discuss lodgement of 5137's with Neil and to send email to auditors with update.	Audit

Neil Dempster	Senior Consultant 1	21-Dec-18	0.20	380	76.00	Administration	Check scan save and email 5137 re TNR appointment - diarise	Audit
Neil Dempster	Senior Consultant 1	21-Dec-18	0.20	380	76.00	Administration	Email to CY request to follow up 5137 lodgements	Audit
Renee Lobb	Senior Director	02-Jan-19	0.50	570	285.00	Trade-On	Responding to investor queries and making payment to TNR for audit work completed.	Investors
Kelly-Anne Trenfield	Senior Managing Director	02-Jan-19	0.10	625	62.50	Administration	Review incoming documentation, consider and send to filing	Administration
Ashleigh Ubank	Administration 2	04-Jan-19	0.10	185	18.50	Administration	RTS. New addresses searched for. Results recorded in MYOB. Report reissued.	Investors
Renee Lobb	Senior Director	04-Jan-19	0.20	570	114.00	Trade-On	Review and respond in detail to email regarding finalisation steps.	Fund Administration
Kelly-Anne Trenfield	Senior Managing Director	04-Jan-19	0.10	625	62.50	Administration	Review incoming documentation, consider and send to filing; Review and update to do list; query outstanding items	Administration
Neil Dempster	Senior Consultant 1	04-Jan-19	0.10	380	38.00	Administration	Review and file email to advance from Greg Thomas re transfer	Investors
Renee Lobb	Senior Director	07-Jan-19	0.20	570	114.00	Trade-On	Investor query responses.	Investors
Renee Lobb	Senior Director	08-Jan-19	0.20	570	114.00	Trade-On	Review update request on transfer. respond and request Advanced attend to providing the investor with an update.	Investors
Renee Lobb	Senior Director	10-Jan-19	0.20	570	114.00	Trade-On	Make payments, update register of fund payables.	Fund Administration
Ashleigh Ubank	Administration 2	11-Jan-19	0.10	185	18.50	Creditors	RTS. New addresses searched for. Results recorded in MYOB. Report reissued.	Investors
Neil Dempster	Senior Consultant 1	11-Jan-19	0.10	380	38.00	Creditors	Email to OMI confirm unit holdings remain the same	Investors
Renee Lobb	Senior Director	15-Jan-19	0.20	570	114.00	Investigations	Call with ASIC regarding letter rejecting notification of new auditor. try to troubleshoot way around.	Audit
Neil Dempster	Senior Consultant 1	15-Jan-19	0.10	380	38.00	Creditors	Email to Les Sposito re timing for future distributions	Investors
Neil Dempster	Senior Consultant 1	15-Jan-19	0.10	380	38.00	Creditors	Email to AMP re bulk updates to registry	Investors
Neil Dempster	Senior Consultant 1	15-Jan-19	0.10	380	38.00	Administration	Email to AU re audit lodgement	Audit
Renee Lobb	Senior Director	16-Jan-19	0.20	570	114.00	Trade-On	Email after voicemail received to investor with update on fund and barriers to closure.	Investors
Renee Lobb	Senior Director	17-Jan-19	0.20	570	114.00	Trade-On	Make WMS payment and seek update on tax lodgement advice. Update registers for payment.	Audit
Renee Lobb	Senior Director	22-Jan-19	0.20	570	114.00	Trade-On	Investor query email and reply.	Investors
Renee Lobb	Senior Director	25-Jan-19	0.30	570	171.00	Trade-On	Respond in detail to investor update request.	Investors
Renee Lobb	Senior Director	29-Jan-19	0.30	570	171.00	Trade-On	Reviewing ASIC correspondence. Locate ASIC enquiry form and lodge detailed request regarding notification of TNR as auditors and rejection of forms 5137. Discuss with Kelly-Anne Trenfield after lodgement.	Audit
Renee Lobb	Senior Director	30-Jan-19	0.20	570	114.00	Trade-On	Investor enquiry response.	Investors
Renee Lobb	Senior Director	31-Jan-19	0.10	570	57.00	Administration	Follow up advice timeframe for fund tax obligations.	Tax
Renee Lobb	Senior Director	31-Jan-19	0.20	570	114.00	Trade-On	Call with ASIC to discuss issue with auditors not being able to lodge accounts electronically as ASIC will not recognise the new auditors. Come up with solution.	Audit

Renee Lobb	Senior Director	01-Feb-19	0.30	570	171.00	Trade-On	Email TNR regarding ASIC audit lodgement resolution. provide instructions to lodge. Prepare ASIC lodgement forms, print audits. give to John Park to sign and lodge thereafter.	Audit
Renee Lobb	Senior Director	04-Feb-19	0.10	570	57.00	Administration	Xero expense claim.	Fund Administration
Renee Lobb	Senior Director	04-Feb-19	0.20	570	114.00	Trade-On	Responding to investor update request.	Investors
Kelly-Anne Trenfield	Senior Managing Director	04-Feb-19	0.60	625	375.00	Administration	Review of WIP for future remuneration application; schedule to 31 Dec re future remuneration approval; Preparation of remuneration schedule including activity categorization	Remuneration
Neil Dempster	Senior Consultant 1	04-Feb-19	0.10	380	38.00	Creditors	Email to clearstream confirm we will not be confirming future unit holdings for their audit purposes	Investors
Renee Lobb	Senior Director	05-Feb-19	0.20	570	114.00	Trade-On	Respond to investor update request.	Investors
Neil Dempster	Senior Consultant 1	05-Feb-19	0.20	380	76.00	Creditors	Mail in - check against AIF register - locate scan transfer form and email to Advanced to deal with	Investors
Renee Lobb	Senior Director	07-Feb-19	0.10	570	57.00	Trade-On	Respond to investor query.	Investors
Renee Lobb	Senior Director	08-Feb-19	0.20	570	114.00	Trade-On	Payment. Update master payables sheet and send to CCS payments.	Fund Administration
Renee Lobb	Senior Director	11-Feb-19	0.20	570	114.00	Trade-On	Respond to investor enquiry regarding distribution. provide update and request further details on account to provide more information.	Investors
Renee Lobb	Senior Director	12-Feb-19	0.10	570	57.00	Trade-On	Respond to investor update request.	Investors
Renee Lobb	Senior Director	13-Feb-19	0.20	570	114.00	Trade-On	Respond to investor enquiry.	Investors
Neil Dempster	Senior Consultant 1	14-Feb-19	0.10	380	38.00	Creditors	Email to Ray re cant locate original transfer form	Investors
Neil Dempster	Senior Consultant 1	14-Feb-19	0.10	380	38.00	Creditors	Email to BP re locating transfer doc original to post to Advanced	Investors
Renee Lobb	Senior Director	15-Feb-19	0.20	570	114.00	Trade-On	Respond to investor update request.	Investors
Renee Lobb	Senior Director	15-Feb-19	0.20	570	114.00	Investigations	Call with CGW regarding information required for advice on tax returns. go through records, locate and send to CGW.	Tax
Renee Lobb	Senior Director	19-Feb-19	0.20	570	114.00	Trade-On	Payment to perpetual for custody fees. updating registers.	Fund Administration
Renee Lobb	Senior Director	20-Feb-19	0.20	570	114.00	Trade-On	Responding to two emails. 1 regarding update request and the other about the transfer process.	Investors
Renee Lobb	Senior Director	20-Feb-19	0.20	570	114.00	Trade-On	Respond to investor queries.	Investors
Neil Dempster	Senior Consultant 1	20-Feb-19	0.10	380	38.00	Creditors	Email to SEB international re transfer instructions	Investors
Ashleigh Ubank	Administration 2	21-Feb-19	0.10	185	18.50	Administration	RTS. New addresses searched for. Results recorded in MYOB. Report reissued.	Investors
Renee Lobb	Senior Director	25-Feb-19	0.30	570	171.00	Trade-On	Go through correspondence for transfers received. on send one AIF one to Advanced Share. Email investor back regarding process.	Investors
Renee Lobb	Senior Director	26-Feb-19	0.10	570	57.00	Trade-On	Respond to investor enquiry.	Investors
Renee Lobb	Senior Director	28-Feb-19	0.10	570	57.00	Trade-On	Respond to audit confirmation request from Perpetual on account holdings.	Audit
Renee Lobb	Senior Director	28-Feb-19	0.10	570	57.00	Trade-On	Answer investor query email.	Investors
Renee Lobb	Senior Director	04-Mar-19	0.20	570	114.00	Trade-On	Download invoice and lodge expense claim for Xero monthly charges.	Fund Administration

Renee Lobb	Senior Director	07-Mar-19	0.20	570	114.00	Trade-On	Respond to investor update request.	Investors
Renee Lobb	Senior Director	08-Mar-19	0.30	570	171.00	Trade-On	Respond to investor email query x 3	Investors
Renee Lobb	Senior Director	12-Mar-19	0.30	570	171.00	Investigations	Follow up CGW advice on fund tax returns and TNR on audit timeline. Follow up WMS also.	Audit
Renee Lobb	Senior Director	13-Mar-19	0.20	570	114.00	Trade-On	Respond to investor request for update.	Investors
Renee Lobb	Senior Director	18-Mar-19	0.10	570	57.00	Trade-On	Provide Neil Dempster with fund update to assist in investor replies.	Investors
Renee Lobb	Senior Director	20-Mar-19	0.10	570	57.00	Trade-On	Respond to investor query.	Investors
Renee Lobb	Senior Director	21-Mar-19	0.20	570	114.00	Trade-On	Look up investment and respond to email requesting unit holding.	Investors
Neil Dempster	Senior Consultant 1	21-Mar-19	0.10	380	38.00	Creditors	Email to OMI re transfers to other bond holding platform	Investors
Renee Lobb	Senior Director	22-Mar-19	0.10	570	57.00	Trade-On	Respond to investor request for update.	Investors
Renee Lobb	Senior Director	25-Mar-19	0.10	570	57.00	Trade-On	Follow up CGW for advice on tax lodgements.	Tax
Renee Lobb	Senior Director	25-Mar-19	0.20	570	114.00	Trade-On	Respond in detail to investor queries.	Investors
Renee Lobb	Senior Director	26-Mar-19	0.10	570	57.00	Trade-On	Investor query response.	Investors
Neil Dempster	Senior Consultant 2	03-Apr-19	0.20	440	88.00	Creditors	Email to Colin Cooke re AIF latest update	Investors
Neil Dempster	Senior Consultant 2	03-Apr-19	0.20	440	88.00	Creditors	Email to Zenith grp latest update	Investors
Neil Dempster	Senior Consultant 2	03-Apr-19	0.20	440	88.00	Creditors	Email to Sam at Corporate Actions re latest update	Investors
Renee Lobb	Managing Director	04-Apr-19	0.30	580	174.00	Trade-On	Respond to investor emails.	Investors
Renee Lobb	Managing Director	05-Apr-19	0.10	580	58.00	Trade-On	Review, save and expense xero monthly data access costs.	Fund Administration
Renee Lobb	Managing Director	05-Apr-19	0.10	580	58.00	Trade-On	Update register for fund payable.	Fund Administration
Renee Lobb	Managing Director	08-Apr-19	0.10	580	58.00	Trade-On	Review and submit payment for release by perpetual.	Fund Administration
Renee Lobb	Managing Director	09-Apr-19	0.70	580	406.00	Trade-On	Call with WMS contact regarding FY 17 and FY 18 returns. provide background and answer specific transaction queries. Call to CGW regarding advice receipt timing to ascertain if ITRs are also required for the funds.	Audit
Neil Dempster	Senior Consultant 2	09-Apr-19	0.10	440	44.00	Creditors	Email to Ronan at Citibank re our appointment and unit holdings	Investors
Neil Dempster	Senior Consultant 2	09-Apr-19	0.20	440	88.00	Creditors	Email to Ronan - Citibank - confirm re unit holding	Investors
Neil Dempster	Senior Consultant 2	11-Apr-19	0.10	440	44.00	Creditors	Email to Citibank confirm AIF will not trade again	Investors
Renee Lobb	Managing Director	15-Apr-19	0.20	580	116.00	Trade-On	Review and collate information to respond to WMS information request. provide relevant documents via return email.	Audit
Renee Lobb	Managing Director	18-Apr-19	0.10	580	58.00	Trade-On	Responding to email from auditors regarding FY 17 and FY 18 accounts.	Fund Administration
Renee Lobb	Managing Director	23-Apr-19	0.10	580	58.00	Trade-On	Call with Adam at WMS regarding audit queries.	Audit
Renee Lobb	Managing Director	26-Apr-19	1.20	580	696.00	Trade-On	Going through query list and compiling documents. return to WMS with my comments and attachments requested and to assist.	Audit
Renee Lobb	Managing Director	29-Apr-19	0.70	580	406.00	Trade-On	Call with WMS regarding audit queries. Call with auditor to discuss AIF queries. Go through distribution list, AUD and forex amounts. Go through subsequent events etc.	Audit

Neil Dempster	Senior Consultant 2	29-Apr-19	0.80	440	352.00	Creditors	Citibank queries - investment reconciliation - ~80 investments, reconcile to ISIN numbers and table for Citibank - some differences	Investors
Renee Lobb	Managing Director	01-May-19	0.20	625	125.00	Trade-On	Review and return email from WMS with requested documentation.	Audit
Renee Lobb	Managing Director	02-May-19	0.10	625	62.50	Trade-On	Claiming and processing payment of Xero invoices	Fund Administration
Renee Lobb	Managing Director	08-May-19	0.10	625	62.50	Trade-On	Scan save and send to WMD Dec Qtr and Mar Qtr BAS's.	Fund Administration
Renee Lobb	Managing Director	10-May-19	0.10	625	62.50	Trade-On	Payment of WMS invoice. update schedule.	Audit
Renee Lobb	Managing Director	13-May-19	0.10	625	62.50	Trade-On	Updating registers for payments. Make payment from Suncorp account x 1	Fund Administration
Renee Lobb	Managing Director	20-May-19	0.10	625	62.50	Trade-On	Email to Kelly-Anne Trenfield on audit and advice progress.	Fund Administration
Renee Lobb	Managing Director	20-May-19	0.10	625	62.50	Trade-On	Respond to investor enquiry requesting update.	Investors
Kelly-Anne Trenfield	Senior Managing Director	21-May-19	0.20	695	139.00	Administration	Remuneration review and compilation from 1 Jan 2019 to 31 March 2019	Remuneration
Kelly-Anne Trenfield	Senior Managing Director	23-May-19	0.20	695	139.00	Administration	Review remuneration table and complete categorisation of time entries	Remuneration
Neil Dempster	Senior Consultant 2	24-May-19	0.10	465	46.50	Creditors	Email to Ronan Lee Citibank confirm not unit holding movement and confirm no contract note	Investors
Neil Dempster	Senior Consultant 2	27-May-19	0.10	465	46.50	Creditors	Email to Ronan at Citibank re difference between his records and register	Investors
Neil Dempster	Senior Consultant 2	30-May-19	0.10	465	46.50	Creditors	Email to citi bank confirm fund will not trade again	Investors
Neil Dempster	Senior Consultant 2	31-May-19	0.10	465	46.50	Creditors	Email to Citibank note registry unit holdings wont change	Investors
Renee Lobb	Managing Director	03-Jun-19	0.10	625	62.50	Trade-On	Payment of fund expenses for Xero.	Fund Administration
Renee Lobb	Managing Director	03-Jun-19	0.40	625	250.00	Trade-On	Downloading all requested statements for WMS for accounting and audit purposes.	Audit
Renee Lobb	Managing Director	03-Jun-19	0.20	625	125.00	Trade-On	Email update to investor responding to queries.	Investors
Neil Dempster	Senior Consultant 2	03-Jun-19	0.10	465	46.50	Creditors	Latest update Sam - Utmost wealth	Investors
Renee Lobb	Managing Director	04-Jun-19	0.40	625	250.00	Trade-On	Reviewing email with queries about FTI remuneration. Call with Adam regarding same. Provide further details and documentation.	Fund Administration
Renee Lobb	Managing Director	06-Jun-19	0.30	625	187.50	Trade-On	Commence review of audit. in call with WMS discuss unit pricing and distributions payable etc noting similar issues with	Audit
Renee Lobb	Managing Director	07-Jun-19	3.50	625	2,187.50	Trade-On	Go through draft AIF audit. Track changes. Review summary of investor payments/payables/redemptions etc. Do a detailed review of investor distributions outstanding at each point and respond to action my notes.	Investors
Renee Lobb	Managing Director	07-Jun-19	0.10	625	62.50	Trade-On	Checking payment to be made, add to register and save.	Fund Administration
Renee Lobb	Managing Director	10-Jun-19	0.20	625	125.00	Trade-On	Reviewing email from investor requesting update. Provide detailed update on same.	Investors
Renee Lobb	Managing Director	11-Jun-19	0.20	625	125.00	Trade-On	Make payments for fund payables to WMS, advanced and perpetual.	Audit
Neil Dempster	Senior Consultant 2	12-Jun-19	0.10	465	46.50	Creditors	Forward 2x AIF register updates to Advanced	Investors
Neil Dempster	Senior Consultant 2	12-Jun-19	0.10	465	46.50	Creditors	Email to Advanced re 100351527 change of details	Investors

Renee Lobb	Managing Director	13-Jun-19	0.10	625	62.50	Trade-On	Check payments processed after receiving email from perpetual about processing issues and delays.	Fund Administration
Renee Lobb	Managing Director	17-Jun-19	0.10	625	62.50	Trade-On	Respond to query on holdings from OMI.	Fund Administration
Renee Lobb	Managing Director	18-Jun-19	0.70	625	437.50	Trade-On	Going through audit queries with WMS. Also discuss with WMS then Kelly-Anne Trenfield the findings on ITRs outstanding.	Audit
Kelly-Anne Trenfield	Senior Managing Director	18-Jun-19	0.20	695	139.00	Administration	Rcl re tax lodgements update including discussion with WMSRCL re review of legal advice re tax lodgements	Audit
Renee Lobb	Managing Director	19-Jun-19	0.10	625	62.50	Trade-On	Email queries with WMS, set up call for tomorrow to finalise audit queries and changes for FY17.	Audit
Renee Lobb	Managing Director	20-Jun-19	0.90	625	562.50	Trade-On	Call with WMS and TNR and post discussion with Kelly-Anne Trenfield regarding financials and audit queries and adjustments.	Audit
Renee Lobb	Managing Director	21-Jun-19	0.20	625	125.00	Trade-On	Summarising file note on ITR lodgement requirements.	Fund Administration
Kelly-Anne Trenfield	Senior Managing Director	22-Jun-19	0.10	695	69.50	Administration	Review file note re obligations to file tax returns; Review and update to do list; query outstanding items	Fund Administration
Renee Lobb	Managing Director	24-Jun-19	0.20	625	125.00	Trade-On	Respond to investor request for update.	Investors
Renee Lobb	Managing Director	25-Jun-19	0.30	625	187.50	Trade-On	Provide investor update reply to email x 2	Investors
Renee Lobb	Managing Director	27-Jun-19	0.30	625	187.50	Trade-On	Respond to 2 investor update requests.	Investors
Renee Lobb	Managing Director	01-Jul-19	0.20	625	125.00	Trade-On	Respond to investor request for update.	Investors
Renee Lobb	Managing Director	09-Jul-19	0.50	625	312.50	Trade-On	Call with Adam to go through 2017-19 queries. provide background and notes for the notes to financials.	Audit
Renee Lobb	Managing Director	14-Jul-19	0.30	625	187.50	Trade-On	Review revised audit and new disclosures. Comments back to WMS and auditors.	Audit
Renee Lobb	Managing Director	14-Jul-19	0.30	625	187.50	Trade-On	Review AIF 2018 and send notes to WMS.	Audit
Renee Lobb	Managing Director	15-Jul-19	0.10	625	62.50	Trade-On	Respond to investor query on closure.	Investors
Renee Lobb	Managing Director	15-Jul-19	0.10	625	62.50	Trade-On	Respond to investor query on unit holdings.	Investors
Renee Lobb	Managing Director	16-Jul-19	0.10	625	62.50	Trade-On	Respond on audit query regarding remuneration.	Audit
Neil Dempster	Senior Consultant 2	16-Jul-19	0.10	465	46.50	Creditors	Email to Citibank confirm fund frozen no redemptions no contract notes	Investors
Renee Lobb	Managing Director	01-Aug-19	0.10	625	62.50	Trade-On	Respond to investor update request.	Investors
Renee Lobb	Managing Director	02-Aug-19	0.10	625	62.50	Trade-On	Paying fund costs.	Fund Administration
Renee Lobb	Managing Director	02-Aug-19	0.10	625	62.50	Trade-On	audit queries.	Audit
Renee Lobb	Managing Director	07-Aug-19	0.10	625	62.50	Trade-On	CALL WITH ADAM FROM WMS RE AUDITS AND TIME TO RESOLVE FINAL QUERIES.	Audit
Renee Lobb	Managing Director	08-Aug-19	0.50	625	312.50	Trade-On	Answer same and provide supplementary documentation. Deal with queries on tall trees and logon and request 406 settlement statement from KM.	Investors
Renee Lobb	Managing Director	09-Aug-19	0.10	625	62.50	Trade-On	Processing payment to advanced share.	Fund Administration
Renee Lobb	Managing Director	15-Aug-19	0.10	625	62.50	Trade-On	Respond to investor update request.	Investors
Renee Lobb	Managing Director	21-Aug-19	0.10	625	62.50	Trade-On	Email to investor responding to update request.	Investors
Renee Lobb	Managing Director	21-Aug-19	0.10	625	62.50	Trade-On	Respond to investor update request.	Investors
Renee Lobb	Managing Director	28-Aug-19	0.10	625	62.50	Trade-On	Make payment of perpetual fees.	Fund Administration
Renee Lobb	Managing Director	29-Aug-19	0.20	625	125.00	Trade-On	Respond to investor email.	Investors
Renee Lobb	Managing Director	02-Sep-19	0.10	625	62.50	Trade-On	Follow up audit progress and respond to Kelly-Anne Trenfield regarding same.	Audit
Renee Lobb	Managing Director	04-Sep-19	0.10	625	62.50	Trade-On	Fund payable claim.	Fund Administration

Renee Lobb	Managing Director	06-Sep-19	0.10	625	62.50	Trade-On	Processing Suncorp payments.	Fund Administration
Renee Lobb	Managing Director	10-Sep-19	0.30	625	187.50	Trade-On	Follow up audit timing with WMS. Respond to investor query also. Call with WMS regarding update on audit timing.	Audit
Kelly-Anne Trenfield	Senior Managing Director	10-Sep-19	0.20	695	139.00	Administration	Review wipe schedule for period 1 April to 30 June re prep for remuneration applicationprepare remuneration schedule to 30 June 2019	Remuneration
Kelly-Anne Trenfield	Senior Managing Director	12-Sep-19	0.20	695	139.00	Administration	Prepare schedules for remuneration application	Remuneration
Renee Lobb	Managing Director	18-Sep-19	0.20	625	125.00	Trade-On	Lengthy reply to investor email.	Investors
Renee Lobb	Managing Director	23-Sep-19	0.10	625	62.50	Trade-On	Respond to investor email. Respond to further email query about share price and NAV.	Investors
Renee Lobb	Managing Director	24-Sep-19	0.30	625	187.50	Trade-On	Reviewing email from investor. Provide detailed update in reply. Follow up Was query list.	Investors
Renee Lobb	Managing Director	25-Sep-19	0.10	625	62.50	Trade-On	Respond to investor update request.	Investors
Renee Lobb	Managing Director	26-Sep-19	0.80	625	500.00	Trade-On	Report to investors draft. Look up exchange rates and fund payables as well as NAV and include information. Send t Kelly-Anne Trenfield for review.	Investors
Kelly-Anne Trenfield	Senior Managing Director	26-Sep-19	0.10	695	69.50	Creditors	Review investor update	Investors
Renee Lobb	Managing Director	27-Sep-19	0.10	625	62.50	Trade-On	Follow up WMS for bas statement and lodgement progress for report to investors.	Investors
Renee Lobb	Managing Director	01-Oct-19	0.10	625	62.50	Trade-On	Respond to investor request for update.	Investors
Renee Lobb	Managing Director	02-Oct-19	0.20	625	125.00	Trade-On	Changes to draft investor update based on discussion with WMS.	Investors
Renee Lobb	Managing Director	02-Oct-19	0.10	625	62.50	Trade-On	Payment and expensing of Xero monthly invoices.	Fund Administration
Renee Lobb	Managing Director	02-Oct-19	0.10	625	62.50	Trade-On	Respond to investor request for update.	Investors
Renee Lobb	Managing Director	04-Oct-19	0.40	625	250.00	Trade-On	Obtaining information for WMS to complete accounts and BASs. Update report to investors based on lodgement timing feedback.	Investors
Renee Lobb	Managing Director	08-Oct-19	0.20	625	125.00	Trade-On	Respond to investor request for update.	Investors
Ashleigh Ubank	Administration 2	14-Oct-19	0.10	205	20.50	Administration	Signature/s inserted electronically into documents of report, documents collated and finalised in PDF format.	Investors
John Park	Senior Managing Director	14-Oct-19	0.30	695	208.50	Administration	Settle update to investors.	Investors
Renee Lobb	Managing Director	14-Oct-19	0.10	625	62.50	Trade-On	Request Ashleigh Ubank to finalise LM Investment Management Ltd fund update.	Investors
Renee Lobb	Managing Director	15-Oct-19	0.10	625	62.50	Trade-On	Upload update to website.	Investors
Renee Lobb	Managing Director	18-Oct-19	0.10	625	62.50	Trade-On	Pay advanced share invoice and update register.	Fund Administration
Renee Lobb	Managing Director	21-Oct-19	0.10	625	62.50	Trade-On	Review email from Adam at WMS regarding financials and HiFX. Advise closed out years ago and send email confirmation of same.	Audit
Kelly-Anne Trenfield	Senior Managing Director	22-Oct-19	1.60	695	1,112.00	Administration	Review approval/order and amend wipe re controller costsadjustment to WIP re controllership costs; request remuneration invoice	Remuneration
Renee Lobb	Managing Director	23-Oct-19	0.10	625	62.50	Trade-On	Respond to investor NAV request.	Investors
Neil Dempster	Senior Consultant 2	23-Oct-19	0.10	465	46.50	Creditors	Email to Citi bank re fund suspension	Investors
Kelly-Anne Trenfield	Senior Managing Director	23-Oct-19	0.20	695	139.00	Administration	Review and approve request for proforma invoice/draft bill/finalised billing and payment	Remuneration
Renee Lobb	Managing Director	25-Oct-19	0.10	625	62.50	Administration	Review invoices to be paid from the fund. Save invoices.	Fund Administration

Renee Lobb	Managing Director	04-Nov-19	0.10	625	62.50	Trade-On	Payments for fund	Fund Administration
Kelly-Anne Trenfield	Senior Managing Director	04-Nov-19	0.30	695	208.50	Administration	Preparation of remuneration schedules re application for fee approval to 31 October 2019	Remuneration
Kelly-Anne Trenfield	Senior Managing Director	06-Nov-19	0.10	695	69.50	Administration	Review and approve payment of outstanding administration liabilities including review of supporting documentation and signing of transfer or cheque	Fund Administration
Renee Lobb	Managing Director	07-Nov-19	0.10	625	62.50	Trade-On	Saving and scanning documents for receipts and payments made.	Fund Administration
Renee Lobb	Managing Director	08-Nov-19	0.10	625	62.50	Trade-On	Fund payable processing.	Fund Administration
Kelly-Anne Trenfield	Senior Managing Director	11-Nov-19	0.20	695	139.00	Administration	Review and finalisation remuneration schedules to 31 October 2019	Remuneration
Renee Lobb	Managing Director	12-Nov-19	0.20	625	125.00	Trade-On	Respond to investor query.	Investors
Renee Lobb	Managing Director	19-Nov-19	0.10	625	62.50	Trade-On	Reviewing email on audit timing from Adam. Respond.	Audit
Renee Lobb	Managing Director	25-Nov-19	0.40	625	250.00	Trade-On	Call with OMI regarding transfers. respond to queries and follow up Neil Dempster on outstanding requests.	Investors
Kelly-Anne Trenfield	Senior Managing Director	28-Nov-19	0.20	695	139.00	Administration	Finalise fee schedulesPrepare task/activity summary	Remuneration
Renee Lobb	Managing Director	29-Nov-19	0.20	625	125.00	Trade-On	Payments for WMS, perpetual.	Fund Administration
Renee Lobb	Managing Director	02-Dec-19	0.20	625	125.00	Trade-On	Review, amend and request Ashleigh Ubank to finalise representation letter to go with audit. save final draft.	Audit
Ashleigh Ubank	Administration 2	02-Dec-19	0.10	205	20.50	Administration	Representation letters formatted and printed for signing.	Administration
Renee Lobb	Managing Director	02-Dec-19	0.10	625	62.50	Trade-On	Respond to investor update request.	Investors
Renee Lobb	Managing Director	05-Dec-19	0.20	625	125.00	Trade-On	Scan, save and sent to TNR audit and representation letter with lodgement instructions. Payments also and update register.	Audit
Neil Dempster	Senior Consultant II	05-Dec-19	0.10	465	46.50	Creditors	Email to basil chamberlain re change of bank details	Investors
Neil Dempster	Senior Consultant II	05-Dec-19	0.10	465	46.50	Creditors	Email to OMI direct to advanced share for registry queries	Investors
Renee Lobb	Managing Director	09-Dec-19	0.20	625	125.00	Trade-On	Completing audit forms for lodgement.	Audit
Neil Dempster	Senior Consultant II	09-Dec-19	0.10	465	46.50	Creditors	Email to Citibank direct to website latest update	Investors
Renee Lobb	Managing Director	10-Dec-19	0.20	625	125.00	Trade-On	Responding to fund update request. Send audit third party authorisation letter as requested.	Audit
Renee Lobb	Managing Director	10-Dec-19	0.10	625	62.50	Trade-On	Pay TNR. Updating register of fund payables.	Fund Administration
Renee Lobb	Managing Director	11-Dec-19	0.10	625	62.50	Trade-On	Respond to investor update request.	Investors
Neil Dempster	Senior Consultant II	13-Dec-19	0.10	465	46.50	Creditors	Email to utmost with copy of report	Investors
Neil Dempster	Senior Consultant II	13-Dec-19	0.10	465	46.50	Creditors	Email to OMI confirm postal address for advanced share	Investors
Renee Lobb	Managing Director	16-Dec-19	0.20	625	125.00	Trade-On	Email to Manuel Gomez with fund update.	Investors
Renee Lobb	Managing Director	20-Dec-19	0.20	625	125.00	Trade-On	Respond to investor request for update.	Investors
Renee Lobb	Managing Director	13-Jan-20	0.60	625	375.00	Trade-On	Reviewing email about account signatory and respond. Review email regarding unit holdings and update. look update units held and respond in email. note some numbers provided could not be located. Respond to transfer query received by London office.	Audit
Kelly-Anne Trenfield	Senior Managing Director	13-Jan-20	0.40	695	278.00	Administration	Prepare remuneration approval schedules to 31 December 2019	Remuneration



Renee Lobb	Managing Director	14-Jan-20	0.20	625	125.00	Trade-On	Respond to 3 investor update enquiries.	Investors
Renee Lobb	Managing Director	15-Jan-20	0.10	625	62.50	Trade-On	Respond to investor query email.	Investors
Renee Lobb	Managing Director	15-Jan-20	0.10	625	62.50	Trade-On	Respond to investor request for update. Make payment and update payment schedule.	Investors
Renee Lobb	Managing Director	16-Jan-20	0.20	625	125.00	Trade-On	Provide lengthy explanation of distribution process to investor.	Investors
Renee Lobb	Managing Director	16-Jan-20	0.10	625	62.50	Trade-On	Provide wind up progress update to investor.	Investors
Renee Lobb	Managing Director	16-Jan-20	0.20	625	125.00	Trade-On	Payments to WMS and Advanced Share Registry.	Fund Administration
Kelly-Anne Trenfield	Senior Managing Director	17-Jan-20	0.20	695	139.00	Administration	Review/amend affidavit re remuneration approval	Remuneration
Renee Lobb	Managing Director	20-Jan-20	0.10	625	62.50	Trade-On	Follow up CBA response on signatory issue.	Audit
Renee Lobb	Managing Director	20-Jan-20	0.30	625	187.50	Trade-On	Reviewing expenses information. do invoice for same and send to Kelly-Anne Trenfield to review. Make payment for perpetual fees too.	Fund Administration
Renee Lobb	Managing Director	20-Jan-20	0.20	625	125.00	Trade-On	Review and respond to investor update email.	Investors
Renee Lobb	Managing Director	21-Jan-20	1.60	625	1,000.00	Trade-On	Call with Adam regarding audit queries and meeting to discuss. Review and forward on to auditors the CBA response on signatories. Request new CBA authority letters to be signed by John. Reviewing email from Adam at WMS with pre call queries. Review file, locate documentation and send via email. Call to Adam to establish same and any further queries. Request approval for audit certificate letters to be finalised, issue same. review op cost and legal invoices and resend to Kelly-Anne Trenfield.	Audit
Kelly-Anne Trenfield	Senior Managing Director	21-Jan-20	0.20	695	139.00	Administration	Review invoices re recoupment of ops and legal costsreview and approve invoicing re costs; Review and update to do list; query outstanding items RCL update re accounts/audit	Fund Administration
Renee Lobb	Managing Director	22-Jan-20	1.20	625	750.00	Trade-On	Payments to LM Investment Management Ltd for expenses. Call with accountant and auditors regarding queries. Respond to further information request from auditors.	Audit
Kelly-Anne Trenfield	Senior Managing Director	22-Jan-20	0.40	695	278.00	Administration	Review constitution re management fees; calculate management fees	Fund Administration
Renee Lobb	Managing Director	23-Jan-20	0.20	625	125.00	Trade-On	Russells payments and update register.	Fund Administration
Renee Lobb	Managing Director	24-Jan-20	0.10	625	62.50	Trade-On	Payment t Russells. Update registers and advise Russells.	Fund Administration
Renee Lobb	Managing Director	24-Jan-20	0.30	625	187.50	Trade-On	Documentation to TNR in response to audit queries.	Audit
Renee Lobb	Managing Director	28-Jan-20	0.40	625	250.00	Trade-On	Respond to investor email with update. Fwd to Ashleigh Ubank audit confirmation request to action. Answer audit queries.	Audit
Kelly-Anne Trenfield	Senior Managing Director	28-Jan-20	0.20	695	139.00	Administration	RCL re audit queriesreview RCL rec re reimbursements	Audit
Renee Lobb	Managing Director	29-Jan-20	0.10	625	62.50	Creditors	Respond to investor email regarding transfer.	Investors

Renee Lobb	Managing Director	29-Jan-20	1.30	625	812.50	Trade-On	Call with auditor after receipt of email. query actual query and drill into supporting documentation. reconcile previous 2018 reimbursement by fund to LMIM with 2020 reimbursement and calculate double up. Send information to Kelly-Anne Trenfield to authorise reimbursement. Response to auditor regarding same. Process reimbursement. call with Adam about financials review.	Audit
Kelly-Anne Trenfield	Senior Managing Director	30-Jan-20	0.10	695	69.50	Administration	Respond to Russells queries re inclusion of disbursements	Remuneration
Neil Dempster	Senior Consultant II	30-Jan-20	0.10	465	46.50	Creditors	Email to utmost wealth re converting unit pricing at 30 June 2019 to usd/euro	Investors
Renee Lobb	Managing Director	31-Jan-20	0.80	625	500.00	Trade-On	Reviewing draft financials. add comments and mark up changes. return to WMS with queries.	Audit
Renee Lobb	Managing Director	04-Feb-20	0.30	625	187.50	Trade-On	Going through financials queries with Adam at WMS. Settle final queries and note additional docs required for Dec Qtr 19.	Audit
Renee Lobb	Managing Director	04-Feb-20	0.10	625	62.50	Creditors	Respond to email from investor requesting distribution timing.	Investors
Kelly-Anne Trenfield	Senior Managing Director	04-Feb-20	0.10	695	69.50	Administration	Review and approve client engagement; instructions to RCL re calcs re feeder funds	Fund Administration
Renee Lobb	Managing Director	05-Feb-20	0.10	625	62.50	Administration	Filing audit emails.	Audit
Renee Lobb	Managing Director	05-Feb-20	0.10	625	62.50	Trade-On	Make WMS payment. update register.	Fund Administration
Renee Lobb	Managing Director	06-Feb-20	0.30	625	187.50	Trade-On	Auditor email and call queries. respond to same.	Audit
Neil Dempster	Senior Consultant II	06-Feb-20	0.20	465	93.00	Creditors	Check records for transfer request from OMI - don't have - they have used wrong address - email to Advanced to advise same	Investors
Neil Dempster	Senior Consultant II	07-Feb-20	0.10	465	46.50	Creditors	Email to six financial information confirm fund frozen and effective date	Investors
Renee Lobb	Managing Director	11-Feb-20	0.20	625	125.00	Creditors	Review and respond to investor update request.	Investors
Renee Lobb	Managing Director	11-Feb-20	0.10	625	62.50	Trade-On	Reviewing audit representation letter. send to Kelly-Anne Trenfield for approval. Return email to TNR on finalisation of same.	Audit
Renee Lobb	Managing Director	12-Feb-20	0.10	625	62.50	Trade-On	Provide quarter Dec 19 information to WMS.	Audit
Renee Lobb	Managing Director	12-Feb-20	0.10	625	62.50	Trade-On	Call with Adam from WMS regarding info for financials.	Audit
Renee Lobb	Managing Director	18-Feb-20	0.30	625	187.50	Trade-On	Call with Adam. Subsequent email regarding changes to notes etc after discussion. Reply.	Audit
Renee Lobb	Managing Director	18-Feb-20	0.50	625	312.50	Trade-On	Management fee information gathering. Send to Kelly-Anne Trenfield when complete in draft.	Fund Administration
Renee Lobb	Managing Director	19-Feb-20	0.30	625	187.50	Investigations	Reviewing FY11/12 accounts and sent to Kelly-Anne Trenfield with notes on fee %'s.	Fund Administration
Renee Lobb	Managing Director	19-Feb-20	0.10	625	62.50	Trade-On	Process resells invoice and update register.	Fund Administration
Renee Lobb	Managing Director	19-Feb-20	0.30	625	187.50	Trade-On	Respond to investor update request. Call CBA regarding audit certificate information request after speaking with TNR.	Audit
Renee Lobb	Managing Director	20-Feb-20	0.20	625	125.00	Trade-On	Dealing with CBA on audit certificate requirements.	Audit

Renee Lobb	Managing Director	21-Feb-20	0.30	625	187.50	Trade-On	Send required information to CBA for audit certificate. Send TNR audit representation letters. Prepare lodgements forms to go to ASIC Monday.	Audit
Kelly-Anne Trenfield	Senior Managing Director	21-Feb-20	0.20	695	139.00	Administration	Review RCL info re management fees/financials -request PDS	Fund Administration
Renee Lobb	Managing Director	24-Feb-20	0.10	625	62.50	Trade-On	Call with TNR regarding audits and minor lodgement amendments. instructions to Ashleigh Ubank to rectify before sending in mail.	Audit
Renee Lobb	Managing Director	25-Feb-20	0.20	625	125.00	Creditors	Respond to investor request for update.	Investors
Kelly-Anne Trenfield	Senior Managing Director	26-Feb-20	0.10	695	69.50	Administration	Review PDS re AIF management fee	Fund Administration
Kelly-Anne Trenfield	Senior Managing Director	26-Feb-20	0.10	695	69.50	Administration	Review revised management fee calculation; discuss with rill	Fund Administration
Renee Lobb	Managing Director	27-Feb-20	0.10	625	62.50	Trade-On	Payment of tar invoice. Update register.	Fund Administration
Renee Lobb	Managing Director	02-Mar-20	0.10	625	62.50	Trade-On	Review and respond to financials draft query and removal of loans note.	Audit
Renee Lobb	Managing Director	03-Mar-20	0.10	625	62.50	Trade-On	WMS Chartered Accountants payment and update of register.	Administration
Renee Lobb	Managing Director	06-Mar-20	0.10	625	62.50	Trade-On	Respond to accountant queries.	Audit
Renee Lobb	Managing Director	09-Mar-20	0.10	625	62.50	Trade-On	Review and respond to queries on notes to the financials. provide update information.	Audit
Renee Lobb	Managing Director	10-Mar-20	0.10	625	62.50	Trade-On	Review email and arrange call with auditors to discuss queries. request queries pre call.	Audit
Renee Lobb	Managing Director	11-Mar-20	0.50	625	312.50	Trade-On	Reviewing agenda for teleconference. Review file for audit queries and add notes. attend teleconference and send emails with additional information required.	Audit
Neil Dempster	Director	12-Mar-20	0.20	465	93.00	Creditors	Email to Paul Shepard unit confirmation and unit pricing	Investors
Neil Dempster	Director	12-Mar-20	0.10	465	46.50	Creditors	Email to Paul Shepard re August 2016 distribution value	Investors
Neil Dempster	Director	12-Mar-20	0.20	465	93.00	Creditors	Confirm estimated value remaining investment 30/6/19 Paul shepherd 30	Investors
Renee Lobb	Managing Director	13-Mar-20	0.10	625	62.50	Trade-On	Review Neil Dempster Query on distribution in 2013x locate details.	Audit
Renee Lobb	Managing Director	13-Mar-20	0.20	625	125.00	Trade-On	Review and respond to investors enquiry.	Investors
Renee Lobb	Managing Director	17-Mar-20	0.60	625	375.00	Trade-On	Email to TNR and WMS with ASIC AFSL extension documentation. Review and mark up changes to draft financials.	Audit
Kelly-Anne Trenfield	Senior Managing Director	18-Mar-20	0.40	695	278.00	Administration	Prepare updated remuneration schedules to 29 February 2020	Remuneration
Renee Lobb	Managing Director	23-Mar-20	0.20	625	125.00	Trade-On	Respond to Kat request for audit update. Request timing from auditors.	Audit
Kelly-Anne Trenfield	Senior Managing Director	23-Mar-20	0.20	695	139.00	Administration	Update remuneration application schedules to 29 February 2020	Remuneration
Kelly-Anne Trenfield	Senior Managing Director	24-Mar-20	0.10	695	69.50	Administration	Update remuneration application schedules to 29 February 2020	Remuneration

							Request TK to finalise audited accounts for FY 19. Reviewing request for compliance information from perpetual. Respond and provide same. 19. Reviewing request for compliance information from perpetual. Respond and provide same. Check and print letters and do lodgement forms with instructions for signing by John Park.	
Renee Lobb	Managing Director	30-Mar-20	0.40	625	250.00	Trade-On		Audit
Tanya Kratz	Administration 2	30-Mar-20	0.30	205	61.50	Administration	Format final audit document as requested.	Audit
Renee Lobb	Managing Director	01-Apr-20	0.40	625	250.00	Trade-On	Scan and sent then follow up financials completed to enable mailing today. Compile returned documents and delete blank pages from pdf as well and change 388 address details. frank and mail out. drop in post box. Send final pdf version to WMS and TNR.	Audit
Renee Lobb	Managing Director	14-Apr-20	0.20	660	132.00	Trade-On	Make payments and update master register. Send to perpetual.	Fund Administration
Renee Lobb	Managing Director	14-Apr-20	0.10	660	66.00	Trade-On	Update reply to investor email.	Investors
Renee Lobb	Managing Director	24-Apr-20	0.20	660	132.00	Trade-On	Review and respond to two investor enquiry emails.	Investors
Renee Lobb	Managing Director	27-Apr-20	0.10	660	66.00	Trade-On	Review and save ASIC notice to file.	Audit
Renee Lobb	Managing Director	28-Apr-20	0.20	660	132.00	Trade-On	Review investor email to Russells. Confirm i will respond and send reply.	Investors
Renee Lobb	Managing Director	29-Apr-20	0.20	660	132.00	Trade-On	Save invoices to file, pay through Suncorp, send to perpetual for release and update register.	Fund Administration
Renee Lobb	Managing Director	30-Apr-20	0.10	660	66.00	Trade-On	Review email from Advanced share on transfers and respond.	Fund Administration
Renee Lobb	Managing Director	30-Apr-20	0.20	660	132.00	Trade-On	Respond to investor request for update.	Investors
Renee Lobb	Managing Director	05-May-20	0.10	660	66.00	Trade-On	Claim for Xero costs.	Administration
Renee Lobb	Managing Director	06-May-20	0.20	660	132.00	Trade-On	Review invoice, update register, make payment and send to perpetual for release.	Fund Administration
Renee Lobb	Managing Director	21-May-20	0.20	660	132.00	Trade-On	Respond to investor update request. email Kelly-Anne Trenfield regarding timing for fund closure.	Investors
Renee Lobb	Managing Director	22-May-20	0.10	660	66.00	Investigations	Email to Millie regarding closure of AIF and inclusion of future fees in present or future application to be capped to enable closure.	Fund Administration
Renee Lobb	Managing Director	28-May-20	0.10	660	66.00	Trade-On	Email to TNR and WMS to arrange catch up to go through closure requirements for final accounts and audit.	Audit
Renee Lobb	Managing Director	29-May-20	0.10	660	66.00	Trade-On	Setup TNR teams meeting.	Audit
Renee Lobb	Managing Director	01-Jun-20	0.20	660	132.00	Trade-On	Respond to investor update request.	Investors
Renee Lobb	Managing Director	02-Jun-20	0.10	660	66.00	Trade-On	Payment and claim of Xero costs.	Fund Administration
Renee Lobb	Managing Director	02-Jun-20	0.10	660	66.00	Trade-On	Respond to investor update query.	Investors
Renee Lobb	Managing Director	02-Jun-20	0.30	660	198.00	Trade-On	Email to Adam at WMS regarding providing every invoice in the quarter. request specific query list. send relevant invoices off the back of that query list.	Audit
Renee Lobb	Managing Director	04-Jun-20	0.10	660	66.00	Trade-On	Review and issue BAS statements to	Administration
Renee Lobb	Managing Director	04-Jun-20	0.10	660	66.00	Trade-On	Respond to investor request for update.	Investors
Renee Lobb	Managing Director	04-Jun-20	0.40	660	264.00	Trade-On	Call with TNR and WMS regarding closure strategy and timing for funds. Email to Kelly-Anne Trenfield on same.	Audit
Tanya Kratz	Administration 2	04-Jun-20	0.30	220	66.00	Administration	Finalise Business Activity Statement x2 as requested.	Administration

Tanya Kratz	Administration 2	04-Jun-20	0.10	220	22.00	Administration	Source Excel WIP Proforma as requested.	Remuneration
Renee Lobb	Managing Director	05-Jun-20	0.40	660	264.00	Trade-On	Commence closure ESOP.	Fund Administration
Renee Lobb	Managing Director	08-Jun-20	0.10	660	66.00	Trade-On	Make advanced share payment. send to Perpetual.	Fund Administration
Renee Lobb	Managing Director	10-Jun-20	0.20	660	132.00	Trade-On	Provide update to investor in reply to email request.	Investors
Renee Lobb	Managing Director	11-Jun-20	0.20	660	132.00	Trade-On	Respond to AIF investor closure update request.	Investors
Renee Lobb	Managing Director	12-Jun-20	0.20	660	132.00	Trade-On	Respond to investor update on closure and distribution email.	Investors
Kelly-Anne Trenfield	Senior Managing Director	15-Jun-20	0.30	720	216.00	Administration	Update remuneration schedules from 1 March to 31 May 2020	Remuneration
Kelly-Anne Trenfield	Senior Managing Director	22-Jun-20	0.20	720	144.00	Administration	Amend remuneration tables to isolate wipe data from 1 March to 31 May 2020	Remuneration
Renee Lobb	Managing Director	23-Jun-20	0.20	660	132.00	Trade-On	Respond to investor query.	Investors
Renee Lobb	Managing Director	24-Jun-20	0.10	660	66.00	Trade-On	Respond to investor request for update.	Investors
Renee Lobb	Managing Director	02-Jul-20	0.20	660	132.00	Trade-On	Make Payments. Update register.	Fund Administration
Renee Lobb	Managing Director	03-Jul-20	0.20	660	132.00	Trade-On	Respond to investor update request. Call and leave message for Geoff Dwyer at TNR.	Investors
Neil Dempster	Director	08-Jul-20	0.10	520	52.00	Creditors	Email to OMI direct to advanced for unit confirmation	Investors
Renee Lobb	Managing Director	09-Jul-20	0.10	660	66.00	Trade-On	Process payment to advanced share and update register.	Fund Administration
Kelly-Anne Trenfield	Senior Managing Director	16-Jul-20	0.30	720	216.00	Administration	Update remuneration schedules to 30 June 2020	Remuneration
Renee Lobb	Managing Director	16-Jul-20	0.20	660	132.00	Trade-On	Call with Geoff from TNR regarding final audit. communicate progress to Kelly-Anne Trenfield.	Audit
Renee Lobb	Managing Director	19-Jul-20	0.10	660	66.00	Trade-On	Respond to investor update request.	Investors
Renee Lobb	Managing Director	20-Jul-20	0.30	660	198.00	Trade-On	Respond to investor email request for update, Respond to two further follow up queries from same investor.	Investors
Renee Lobb	Managing Director	25-Jul-20	0.10	660	66.00	Trade-On	Review and respond to investor request. forward to advanced share.	Investors
Renee Lobb	Managing Director	29-Jul-20	0.10	660	66.00	Trade-On	Respond to investor update request	Investors
Renee Lobb	Managing Director	29-Jul-20	0.10	660	66.00	Trade-On	Respond to investor query.	Investors
Renee Lobb	Managing Director	07-Aug-20	0.10	660	66.00	Trade-On	Pay invoice for advanced share. update register.	Fund Administration
Renee Lobb	Managing Director	07-Aug-20	0.80	660	528.00	Trade-On	Compile, save and send email with accounting information to WMS.	Audit
Renee Lobb	Managing Director	10-Aug-20	0.10	660	66.00	Trade-On	Respond to investor update request.	Investors
Renee Lobb	Managing Director	13-Aug-20	0.10	660	66.00	Trade-On	Respond to investor email	Investors
Renee Lobb	Managing Director	20-Aug-20	0.10	660	66.00	Trade-On	Respond to request for unit holding information.	Investors
Renee Lobb	Managing Director	24-Aug-20	0.10	660	66.00	Trade-On	Review BAS. Scan, save and return to WMS executed June Qtr 2020 BAS.	Fund Administration
Renee Lobb	Managing Director	25-Aug-20	0.60	660	396.00	Trade-On	Drafting report to investors. Respond to investor request for update via advisor. Send update to Kelly-Anne Trenfield for review.	Fund Administration
Kelly-Anne Trenfield	Senior Managing Director	26-Aug-20	0.10	720	72.00	Creditors	Review draft fund update	Fund Administration
Renee Lobb	Managing Director	28-Aug-20	0.10	660	66.00	Trade-On	Review KATs changes to update. Send to John Park for approval to finalise investor update.	Fund Administration
Kelly-Anne Trenfield	Senior Managing Director	28-Aug-20	0.10	720	72.00	Creditors	Review and amend members update	Fund Administration
Ashleigh Ubank	Administration 2	31-Aug-20	0.10	220	22.00	Administration	Signature/s inserted electronically into documents of report, documents collated and finalised in PDF format.	Administration

Renee Lobb	Managing Director	31-Aug-20	0.20	660	132.00	Trade-On	Review final update, Load to website. Confirm to Kelly-Anne Trenfield and John Park now live.	Fund Administration
Renee Lobb	Managing Director	01-Sep-20	0.20	660	132.00	Trade-On	Respond to investor transfer enquiry.	Investors
Renee Lobb	Managing Director	01-Sep-20	0.20	660	132.00	Trade-On	Call with Francis from Financial partners. give detailed background and information on their client holdings in fund.	Investors
Renee Lobb	Managing Director	02-Sep-20	0.10	660	66.00	Trade-On	Download and pay xero expense claim same.	Fund Administration
Renee Lobb	Managing Director	03-Sep-20	0.10	660	66.00	Trade-On	Providing information to ASIC.	Fund Administration
Renee Lobb	Managing Director	03-Sep-20	0.10	660	66.00	Trade-On	Make payment from fund to WMS. Update register.	Fund Administration
Renee Lobb	Managing Director	07-Sep-20	0.40	660	264.00	Trade-On	Reviewing required account details and complete spreadsheet for audit confirmations. Return to TNR. Review and send off audit confirmation letter.	Audit
Ashleigh Ubank	Administration 2	07-Sep-20	0.20	220	44.00	Administration	Letter formatted. Electronic signature/s inserted into letter, letter collated with attachments and finalised in PDF and/or hard copy format.	Administration
Renee Lobb	Managing Director	09-Sep-20	0.10	660	66.00	Trade-On	Fund payment. Send to Perpetual and updater register.	Fund Administration
Renee Lobb	Managing Director	10-Sep-20	0.20	660	132.00	Trade-On	Call with Graham Forbes. Provide fund update.	Investors
Renee Lobb	Managing Director	10-Sep-20	0.10	660	66.00	Trade-On	Review transfer request. respond advising only to direct to Advanced Share Registry	Investors
Renee Lobb	Managing Director	21-Sep-20	0.20	660	132.00	Trade-On	Respond to investor update request.	Investors
Kelly-Anne Trenfield	Senior Managing Director	01-Oct-20	0.30	720	216.00	Administration	Update remuneration approval schedules to 30 September 2020	Remuneration
Renee Lobb	Managing Director	01-Oct-20	0.30	660	198.00	Trade-On	Reviewing financials draft for FY20. Return email to Adam regarding timing for fund wind up and request what is needed on remuneration to finalise drafts. Send to Kelly-Anne Trenfield as FYI.	Audit
Renee Lobb	Managing Director	02-Oct-20	0.10	660	66.00	Trade-On	Email to TNR in response to Suncorp request for further information to verify bank account balances.	Audit
Renee Lobb	Managing Director	02-Oct-20	0.10	660	66.00	Trade-On	Dealing with audit confirmation issue with TNR and Suncorp.	Audit
Renee Lobb	Managing Director	02-Oct-20	0.10	660	66.00	Trade-On	Pay costs of winding up via expense claim - Xero costs.	Fund Administration
Renee Lobb	Managing Director	08-Oct-20	0.20	660	132.00	Trade-On	Making fund payments and updating register.	Fund Administration
Renee Lobb	Managing Director	13-Oct-20	0.10	660	66.00	Trade-On	Send email to Donna with authorisation to try and resolve balance check issue with Suncorp.	Fund Administration
Renee Lobb	Managing Director	23-Oct-20	0.10	660	66.00	Trade-On	Review and reply to email from investor. direct to website update.	Investors
Renee Lobb	Managing Director	28-Oct-20	0.10	660	66.00	Trade-On	Call with Scott from TNR with audit update. Provide update from our end also.	Audit
					\$ 59,921.00			

**LM Australian Income Fund: Calculation of Category 1 July 2018 to 31 October 2020**

Employee	Position	Rate/hour excl GST \$	Total actual hours	Total (excl GST) \$	Administration \$	Assets \$	Creditors \$	Dividend \$	Investigations \$	Trade On \$
Ashleigh Ubank	Administration 2	185 205 220	1.60 0.20 0.30	403.00	347.50		55.50			
John Park	Senior Managing Director	695	0.30	208.50	208.50					
Kelly-Anne Trenfield	Senior Managing Director	625 695 720	2.00 6.50 1.30	6,703.50	6,427.50		276.00			
Neil Dempster	Senior Consultant 1 Senior Consultant 2 Director	380 440 465 520	6.10 1.80 2.30 0.10	4,231.50	494.00		3,737.50			
Renee Lobb	Senior Director Managing Director	570 580 625 660	30.80 3.60 33.70 11.20	48,098.50	1,208.00		375.00		823.50	45,692.00
Talia Glover	Administration 1	155	0.10	15.50	15.5					
Tanya Kratz	Administration 2	185 205 220	0.60 0.30 0.40	260.50	260.50					
<b>Subtotal</b>			<b>103.20</b>	<b>59,921.00</b>	<b>8,961.50</b>	<b>0.00</b>	<b>4,444.00</b>	<b>0.00</b>	<b>823.50</b>	<b>45,692.00</b>
<b>GST</b>				<b>5,992.10</b>						
<b>Total (including GST)</b>				<b>65,913.10</b>						
<b>Hours</b>			<b>103.20</b>		<b>16.30</b>	<b>0.00</b>	<b>10.30</b>	<b>0.00</b>	<b>1.40</b>	<b>75.20</b>

LM Australian Structured Product Fund Liquidator's Remuneration - 1 July 2018 to 31 October 2020								
Name	Position	Date	Hrs	Charge out Rate	Charge	Task Desc	Narrative	Activity
Talia Glover	Administration 1	09-Jul-18	0.10	155	15.50	Administration	Produced excel WIP report from 011015 to 300618 - emailed to KAT	Remuneration
Kelly-Anne Trenfield	Senior Managing Director	09-Jul-18	0.30	625	187.50	Administration	update schedules for fee application; update remuneration schedules re approval application; prepare ARITA schedules	Remuneration
Kelly-Anne Trenfield	Senior Managing Director	10-Jul-18	0.10	625	62.50	Administration	finalise updated schedule to 30 June 2018 re remuneration approval	Remuneration
Renee Lobb	Senior Director	12-Jul-18	0.20	570	114.00	Trade-On	Reviewing invoices, saving to file and adding to schedule.	Fund Administration
Renee Lobb	Senior Director	16-Jul-18	0.50	570	285.00	Trade-On	Finding invoices to register. Make payments for same. Send to CCS payments for processing. Do apportionment from ASPF fund series.	Fund Administration
Renee Lobb	Senior Director	18-Jul-18	0.20	570	114.00	Trade-On	Processing payments and send to CCS payments to release.	Fund Administration
Renee Lobb	Senior Director	19-Jul-18	0.20	570	114.00	Trade-On	Respond to investor enquiry.	Investors
Renee Lobb	Senior Director	25-Jul-18	0.30	570	171.00	Trade-On	Reviewing register for the fund to send notice of remuneration application. removing email duplicates and postage duplicates after separating email and post only investors.	Remuneration
Ashleigh Ubank	Administration 2	26-Jul-18	0.20	185	37.00	Administration	Mailing labels generated from investor list. Notice formatted on letterhead.	Investors
Renee Lobb	Senior Director	26-Jul-18	0.70	570	399.00	Trade-On	Do notice to members for remuneration application. send to Russells for review and discuss notice issuing with Kelly-Anne Trenfield and Ashleigh Ubank. Finalising notice. emailing to members and assisting Ashleigh Ubank with mail out.	Remuneration
Renee Lobb	Senior Director	30-Jul-18	0.40	570	228.00	Trade-On	Respond to investor query. Further investor query and response.	Investors
Renee Lobb	Senior Director	30-Jul-18	0.70	570	399.00	Trade-On	Registering returned emails from remuneration application notice sent. Mark up to be sent via post.	Remuneration
Ashleigh Ubank	Administration 2	31-Jul-18	0.20	185	37.00	Administration	Mailing labels generated from investor list.	Investors
Renee Lobb	Senior Director	10-Aug-18	0.10	570	57.00	Trade-On	Respond to investor query regarding Newcrest Ming Shares.	Investors
Renee Lobb	Senior Director	10-Aug-18	0.10	570	57.00	Trade-On	Review email regarding BAS's and refund request. provide requested information for June Qtr BAS.	Tax
Renee Lobb	Senior Director	10-Aug-18	0.10	570	57.00	Trade-On	Payment to advanced share. update register for payment.	Fund Administration
Renee Lobb	Senior Director	16-Aug-18	0.60	570	342.00	Administration	Review and mark up changes to FY 15 financials draft. Send back to WMS for review.	Audit
Renee Lobb	Senior Director	23-Aug-18	0.50	570	285.00	Trade-On	Call with WMS regarding queries about payments from ASPF in line with First Mortgage Income Fund orders and fund reimbursements along with controllership fees. Review file and request assistance in locating supporting documents from Kelly-Anne Trenfield. Discussion with Kelly-Anne Trenfield on same. Further call and email to WMS to clear up queries.	Audit



Renee Lobb	Senior Director	24-Aug-18	0.10	570	57.00	Trade-On	Trade on - payment of perpetual custody fees. Update schedule of fund payables.	Fund Administration
Neil Dempster	Senior Consultant 1	27-Aug-18	0.10	380	38.00	Administration	Confirm unit holdings for Old mutual	Investors
Renee Lobb	Senior Director	03-Sep-18	0.70	570	399.00	Trade-On	Reviewing email with remuneration invoices and reconcile to information on file and relevant dates ranges. confirm my view with Kelly-Anne Trenfield and await same before sending to WMS. Confirm with Kelly-Anne Trenfield covered by later invoices and send email to WMS confirming same.	Remuneration
Kelly-Anne Trenfield	Senior Managing Director	03-Sep-18	0.10	625	62.50	Administration	Respond to auditor/was queries re payment of costs	Audit
Renee Lobb	Senior Director	04-Sep-18	0.10	570	57.00	Trade-On	Save down WMS invoice requiring payment. updating registers and arranging payment via Suncorp.	Audit
Renee Lobb	Senior Director	04-Sep-18	0.10	570	57.00	Trade-On	Review detailed queries about transfer process. Answer email including 6 questions contained therein.	Fund Administration
Renee Lobb	Senior Director	06-Sep-18	0.10	570	57.00	Trade-On	Changing billing information on Xero to ensure separate fund invoice received.	Fund Administration
Renee Lobb	Senior Director	11-Sep-18	0.10	570	57.00	Trade-On	Pay Advanced Share Registry invoice.	Fund Administration
Renee Lobb	Senior Director	14-Sep-18	0.10	570	57.00	Trade-On	Review email from WMS regarding requirement to lodge tax returns. Send back comments on same to determine if this is a required step before we can finalise the fund.	Tax
Renee Lobb	Senior Director	14-Sep-18	1.00	570	570.00	Trade-On	Drafting NAV, calculate AUD equivalent units as at 30 June 2018 using ATO rates. Complete draft update to investors to advise fund progress.	Investors
Kelly-Anne Trenfield	Senior Managing Director	17-Sep-18	0.10	625	62.50	Administration	Review investor update	Investors
Renee Lobb	Senior Director	18-Sep-18	0.10	570	57.00	Trade-On	Edits to fund updates in line with KATs comments. send revised version and request confirmation they are okay to finalise.	Investors
Kelly-Anne Trenfield	Senior Managing Director	18-Sep-18	0.10	625	62.50	Administration	Review, amend and sign RTC	Fund Administration
Renee Lobb	Senior Director	19-Sep-18	0.40	570	228.00	Trade-On	Email to WMS following up on tax matter progress, Obtain same and update report to investors accordingly. Further updates throughout the day based on Kelly-Anne Trenfield and John Park feedback. Instructions to Ashleigh Ubank to finalise. Post to website.	Tax
Renee Lobb	Senior Director	20-Sep-18	0.80	570	456.00	Trade-On	Call with Advanced share Sydney and Perth to discuss significant service issues and specific transfer requests which have been forwarded to our office when they are AIF. discuss issues in detail to ascertain how to resolve. send follow on email to investors in question.	Investors
Renee Lobb	Senior Director	24-Sep-18	0.10	570	57.00	Trade-On	Respond to investor update request.	Investors
Renee Lobb	Senior Director	02-Oct-18	0.10	570	57.00	Administration	Expense claim for xero access	Fund Administration
Renee Lobb	Senior Director	10-Oct-18	0.10	570	57.00	Trade-On	Pay ASPF invoices.	Fund Administration
Renee Lobb	Senior Director	11-Oct-18	0.30	570	171.00	Trade-On	Review of FY 15 final draft audit. Review fee notes and raise query.	Audit
Renee Lobb	Senior Director	11-Oct-18	0.40	570	228.00	Trade-On	Call with Josh from WMS. Go through audit queries.	Audit
Renee Lobb	Senior Director	12-Oct-18	0.20	570	114.00	Trade-On	Call with Evan from TNR regarding audit process and queries.	Audit

Renee Lobb	Senior Director	18-Oct-18	0.20	570	114.00	Trade-On	Review, amend, save and print of letterhead management representation letter. Give to John Park to sign.	Fund Administration
Renee Lobb	Senior Director	22-Oct-18	0.10	570	57.00	Trade-On	Can, save and email management representation letter to auditors.	Audit
Renee Lobb	Senior Director	22-Oct-18	0.10	570	57.00	Trade-On	Reviewing financials. print and send to John Park for signing. send to WMS and TNR once executed.	Audit
Renee Lobb	Senior Director	24-Oct-18	0.10	570	57.00	Administration	Filing all sent funds related emails.	Administration
Renee Lobb	Senior Director	25-Oct-18	0.10	570	57.00	Trade-On	Respond to update request. Direct to ASPF update.	Investors
Renee Lobb	Senior Director	26-Oct-18	0.10	570	57.00	Trade-On	Answer investor queries.	Investors
Neil Dempster	Senior Consultant 1	06-Nov-18	0.10	380	38.00	Administration	Email to advanced request copy of register	Fund Administration
Neil Dempster	Senior Consultant 1	08-Nov-18	0.30	380	114.00	Administration	Discuss with RCL re process for service to investors	Investors
Neil Dempster	Senior Consultant 1	08-Nov-18	0.10	380	38.00	Creditors	Follow up email to Advanced Share for copy of ASPF register	Fund Administration
Neil Dempster	Senior Consultant 1	09-Nov-18	0.80	380	304.00	Creditors	Time spent on preparing for an conducting email and mail out of notice to members re application for LMIM future conduct	Legal
Caroline Halcoop	Administration 2	09-Nov-18	0.30	185	55.50	Administration	Finalise report/mail out etc	Fund Administration
Neil Dempster	Senior Consultant 1	12-Nov-18	0.60	380	228.00	Creditors	Records bouncebacks and responses re ASPF mailout	Legal
Neil Dempster	Senior Consultant 1	12-Nov-18	0.10	380	38.00	Creditors	Save 1x bounceback	Legal
Ashleigh Ubank	Administration 2	13-Nov-18	0.30	185	55.50	Administration	Follow up service affidavit circulars issued via post to returned emails.	Fund Administration
Renee Lobb	Senior Director	13-Nov-18	0.20	570	114.00	Trade-On	Make payment to advanced share, send to CCs payments to release and update register.	Fund Administration
Renee Lobb	Senior Director	13-Nov-18	0.10	570	57.00	Trade-On	Follow up WMS for audit.	Audit
Kelly-Anne Trenfield	Senior Managing Director	16-Nov-18	0.10	625	62.50	Administration	Review audit report and request finalisation	Audit
Neil Dempster	Senior Consultant 1	17-Nov-18	0.30	380	114.00	Administration	Review and notate draft service affidavit per marked up comments for Julian Walsh review	Administration
Neil Dempster	Senior Consultant 1	18-Nov-18	0.30	380	114.00	Creditors	Attend Russells office and work with Julian Walsh to finalise service affidavit	Legal
Kelly-Anne Trenfield	Senior Managing Director	20-Nov-18	0.10	625	62.50	Administration	Review lodgement criteria; instructions to AU; review asci requirements and email to WMS re lodgement as unable to lodge online/via paper lodgement	Audit
Neil Dempster	Senior Consultant 1	25-Nov-18	0.20	380	76.00	Administration	Do form 5137 draft note to KAT re appointment date	Audit
Neil Dempster	Senior Consultant 1	26-Nov-18	0.20	380	76.00	Administration	Scan copy of form 5137 to file note RCL has appeared to have drafted - was it submitted?	Audit
Kelly-Anne Trenfield	Senior Managing Director	26-Nov-18	0.10	625	62.50	Administration	Review and add appt date to F5137 re appointment of auditors; emails in re audit lodgement	Audit
Neil Dempster	Senior Consultant 1	28-Nov-18	0.10	380	38.00	Administration	Take form 5137 to ASIC get time stamped	Audit
Renee Lobb	Senior Director	30-Nov-18	0.10	570	57.00	Trade-On	Reviewing emails regarding audit lodgements and change of auditor forms. File same.	Audit
Renee Lobb	Senior Director	03-Dec-18	0.10	570	57.00	Trade-On	Saving final 2015 audit.	Audit
Renee Lobb	Senior Director	05-Dec-18	0.20	570	114.00	Trade-On	Payment to perpetual. upload payment, email to release and update register. Reviewing email regarding FY 16 financials and respond to WMS.	Fund Administration
Renee Lobb	Senior Director	07-Dec-18	0.10	570	57.00	Trade-On	Review and give to John Park for signing Sept Qtr 18 BAS.	Tax
Renee Lobb	Senior Director	07-Dec-18	0.20	570	114.00	Trade-On	Call with WMS regarding tax lodgements and status of audit lodgements.	Audit
Renee Lobb	Senior Director	10-Dec-18	0.10	570	57.00	Trade-On	Issue BAS to WMS for lodgement.	Tax

Renee Lobb	Senior Director	11-Dec-18	0.10	570	57.00	Trade-On	Following up bank confirmation ID requirements of CBA for audits.	Audit
Renee Lobb	Senior Director	12-Dec-18	0.20	570	114.00	Administration	Filing all sent emails for records.	Administration
Renee Lobb	Senior Director	12-Dec-18	0.10	570	57.00	Trade-On	Updating funds payable register for invoices received and paid today. Load payment to Suncorp and send to CCS payments to release.	Fund Administration
Renee Lobb	Senior Director	12-Dec-18	0.20	570	114.00	Trade-On	Discuss audit lodgements with Kelly-Anne Trenfield. Follow up TNR and WMS for FY 16 edits and FY 17 and FY 18 lodgement time frames to be met going forward.	Audit
Renee Lobb	Senior Director	13-Dec-18	1.40	570	798.00	Trade-On	Discussions with Kelly-Anne Trenfield regarding audit completion time frames and progress thus far. Emails regarding same. Review, make comments, additions, and amendments to draft FY 16 audit and return to WMS. Responses to TNR regarding timing for further audits and information required from FTI presently.	Audit
Renee Lobb	Senior Director	14-Dec-18	0.40	570	228.00	Trade-On	Review amendments an explanation to queries on FY 16 audit. Respond to confirm John Park can now sign the relevant pages. Review email and take voicemail from Geoff Dwyer. Return call and leave message.	Audit
Renee Lobb	Senior Director	14-Dec-18	0.10	570	57.00	Trade-On	Review and respond to email from auditors regarding bank audit confirmation/certificate after receipt of CBA correspondence.	Audit
Kelly-Anne Trenfield	Senior Managing Director	14-Dec-18	0.10	625	62.50	Administration	Emails in/out with WMS and auditors re status of funds and tax obligations	Audit
Renee Lobb	Senior Director	18-Dec-18	0.20	570	114.00	Trade-On	Responding to investor query for discrepancies in unit holdings. request they identify what the discrepancies are so we can only respond to the specific unit holdings.	Investors
Renee Lobb	Senior Director	19-Dec-18	0.20	570	114.00	Trade-On	Reviewing final audit documents and respond to auditors on timing. Send to John Park for authority to finalise to enable pre-Christmas lodgement.	Audit
Tanya Kratz	Administration 2	20-Dec-18	0.70	185	129.50	Administration	Amend and finalise documents as requested.	Administration
Renee Lobb	Senior Director	20-Dec-18	0.50	570	285.00	Trade-On	Review email from John Park with approvals. Send to TK with instructions to get audit finalised. Send documentation to auditors finalised for lodgement. save auditor final copies. Review email regarding 5137's and audit lodgements through the liquidator portal. Check options available. Request Neil Dempster to follow up tomorrow to find a solution.	Audit
Renee Lobb	Senior Director	21-Dec-18	0.20	570	114.00	Trade-On	Review fund invoice for audits. Email Kelly-Anne Trenfield regarding payment timing. discuss lodgement of 5137's with Neil and to send email to auditors with update.	Audit
Neil Dempster	Senior Consultant 1	21-Dec-18	0.20	380	76.00	Administration	Check scan save and email 5137 re TNR appointment - diarise	Audit
Neil Dempster	Senior Consultant 1	21-Dec-18	0.20	380	76.00	Administration	Email to CY request to follow up 5137 lodgements	Audit
Renee Lobb	Senior Director	02-Jan-19	0.20	570	114.00	Trade-On	Making payment to TNR for audit work completed.	Fund Administration
Renee Lobb	Senior Director	02-Jan-19	0.10	570	57.00	Trade-On	Pay monthly subscription for Xero and claim through chrome river.	Fund Administration

Kelly-Anne Trenfield	Senior Managing Director	02-Jan-19	0.10	625	62.50	Administration	Review incoming documentation, consider and send to filing	Administration
Ashleigh Ubank	Administration 2	03-Jan-19	0.10	185	18.50	Administration	RTS. New addresses searched for. Results recorded in MYOB. Report reissued.	Investors
Kelly-Anne Trenfield	Senior Managing Director	04-Jan-19	0.10	625	62.50	Administration	Review incoming documentation, consider and send to filing; Review and update to do list; query outstanding items	Administration
Renee Lobb	Senior Director	07-Jan-19	0.20	570	114.00	Trade-On	Responding to investor query on return timing.	Investors
Renee Lobb	Senior Director	10-Jan-19	0.20	570	114.00	Trade-On	Make payments, update register of fund payables.	Fund Administration
Ashleigh Ubank	Administration 2	11-Jan-19	0.10	185	18.50	Creditors	RTS. New addresses searched for. Results recorded in MYOB. Report reissued.	Investors
Neil Dempster	Senior Consultant 1	11-Jan-19	0.10	380	38.00	Creditors	Email to OMI confirm unit holdings remain the same	Investors
Renee Lobb	Senior Director	15-Jan-19	0.20	570	114.00	Investigations	Call with ASIC regarding letter rejecting notification of new auditor. try to troubleshoot way around.	Audit
Neil Dempster	Senior Consultant 1	15-Jan-19	0.10	380	38.00	Creditors	Email to AMP re bulk updates to registry	Investors
Renee Lobb	Senior Director	17-Jan-19	0.20	570	114.00	Trade-On	Make WMS payment and seek update on tax lodgement advice. Update registers for payment.	Fund Administration
Renee Lobb	Senior Director	25-Jan-19	0.20	570	114.00	Trade-On	Investor query response.	Investors
Renee Lobb	Senior Director	29-Jan-19	0.30	570	171.00	Trade-On	Reviewing ASIC correspondence. Locate ASIC enquiry form and lodge detailed request regarding notification of TNR as auditors and rejection of forms 5137. Discuss with Kelly-Anne Trenfield after lodgement.	Audit
Renee Lobb	Senior Director	31-Jan-19	0.10	570	57.00	Administration	Follow up advice timeframe for fund tax obligations.	Tax
Renee Lobb	Senior Director	31-Jan-19	0.20	570	114.00	Trade-On	Call with ASIC to discuss issue with auditors not being able to lodge accounts electronically as ASIC will not recognise the new auditors. Come up with solution.	Audit
Renee Lobb	Senior Director	01-Feb-19	0.30	570	171.00	Trade-On	Email TNR regarding ASIC audit lodgement resolution. provide instructions to lodge. Prepare ASIC lodgement forms, print audits. give to John Park to sign and lodge thereafter.	Audit
Renee Lobb	Senior Director	04-Feb-19	0.10	570	57.00	Trade-On	Xero expense claim.	Fund Administration
Kelly-Anne Trenfield	Senior Managing Director	04-Feb-19	0.60	625	375.00	Administration	Review of WIP for future remuneration application; schedule to 31 Dec re future remuneration approval; Preparation of remuneration schedule including activity categorization	Remuneration
Renee Lobb	Senior Director	05-Feb-19	0.20	570	114.00	Trade-On	Provide update to investor via email.	Investors
Renee Lobb	Senior Director	07-Feb-19	0.10	570	57.00	Trade-On	Responding to investor query.	Investors
Renee Lobb	Senior Director	08-Feb-19	0.20	570	114.00	Trade-On	Payment. Update master payables sheet and send to CCS payments.	Fund Administration
Renee Lobb	Senior Director	08-Feb-19	0.10	570	57.00	Trade-On	Respond to investor update request.	Investors
Renee Lobb	Senior Director	14-Feb-19	0.20	570	114.00	Trade-On	Answer investor query.	Investors
Renee Lobb	Senior Director	15-Feb-19	0.20	570	114.00	Investigations	Call with CGW regarding information required for advice on tax returns. go through records, locate and send to CGW.	Tax
Renee Lobb	Senior Director	19-Feb-19	0.20	570	114.00	Trade-On	Respond to two investor queries.	Investors
Renee Lobb	Senior Director	19-Feb-19	0.20	570	114.00	Trade-On	Payment to perpetual for custody fees. updating registers.	Fund Administration
Renee Lobb	Senior Director	25-Feb-19	0.10	570	57.00	Trade-On	Respond to investor registry query. provide Advanced Share Registry details.	Investors

Renee Lobb	Senior Director	28-Feb-19	0.10	570	57.00	Trade-On	Respond to audit confirmation request from Perpetual on account holdings.	Audit
Renee Lobb	Senior Director	04-Mar-19	0.20	570	114.00	Trade-On	Download invoice and lodge expense claim for Xero monthly charges.	Fund Administration
Renee Lobb	Senior Director	08-Mar-19	0.20	570	114.00	Trade-On	Responding to 2 queries requesting updates.	Investors
Renee Lobb	Senior Director	12-Mar-19	0.30	570	171.00	Investigations	Follow up CGW advice on fund tax returns and TNR on audit timeline. Follow up WMS also.	Tax
Renee Lobb	Senior Director	18-Mar-19	0.10	570	57.00	Trade-On	Provide Neil Dempster with fund update to assist in investor replies.	Investors
Renee Lobb	Senior Director	20-Mar-19	0.10	570	57.00	Trade-On	Respond to investor query.	Investors
Renee Lobb	Senior Director	25-Mar-19	0.10	570	57.00	Trade-On	Follow up CGW for advice on tax lodgements.	Tax
Renee Lobb	Senior Director	25-Mar-19	0.10	570	57.00	Trade-On	Respond to investor update request.	Investors
Renee Lobb	Managing Director	05-Apr-19	0.10	580	58.00	Trade-On	Review, save and expense xero monthly data access costs.	Fund Administration
Renee Lobb	Managing Director	05-Apr-19	0.10	580	58.00	Trade-On	Update register for fund payable.	Fund Administration
Renee Lobb	Managing Director	08-Apr-19	0.10	580	58.00	Trade-On	Review and submit payment for release by perpetual.	Fund Administration
Renee Lobb	Managing Director	09-Apr-19	0.70	580	406.00	Trade-On	Call with WMS contact regarding FY 17 and FY 18 returns. provide background and answer specific transaction queries. Call to CGW regarding advice receipt timing to ascertain if ITRs are also required for the funds.	Audit
Renee Lobb	Managing Director	10-Apr-19	0.10	580	58.00	Trade-On	Sending invoices to WMS to assist in completion of accounts.	Audit
Neil Dempster	Senior Consultant 2	11-Apr-19	0.20	440	88.00	Creditors	Roy Davies query re court application and budget approval - judgement withheld - update email	Investors
Renee Lobb	Managing Director	12-Apr-19	0.50	580	290.00	Investigations	Reviewing LM Investment Management Ltd shareholding in response to query. return call and send email. Discuss with Kelly-Anne Trenfield also.	Investors
Renee Lobb	Managing Director	15-Apr-19	0.30	580	174.00	Trade-On	Review and collate information to respond to WMS information request. provide relevant documents via return email.	Audit
Renee Lobb	Managing Director	16-Apr-19	0.20	580	116.00	Trade-On	Assisting Neil Dempster to reconcile payments for ASIC unclaimed monies from 2016 distribution.	Fund Administration
Neil Dempster	Senior Consultant 2	16-Apr-19	0.30	440	132.00	Administration	Discuss with RCL re unclaimed monies	Investors
Renee Lobb	Managing Director	18-Apr-19	0.10	580	58.00	Trade-On	Responding to email from auditors regarding FY 17 and FY 18 accounts.	Audit
Renee Lobb	Managing Director	23-Apr-19	0.10	580	58.00	Trade-On	Call with Adam at WMS regarding audit queries.	Audit
Renee Lobb	Managing Director	26-Apr-19	1.30	580	754.00	Trade-On	Go through audit queries, download FY 17 and FY 18 EUR and GBP CBA statements. Go through list of investor distributions to verify and check sample. Return email to WMS with all responses and attachments.	Audit
Renee Lobb	Managing Director	29-Apr-19	0.30	580	174.00	Trade-On	Call with WMS regarding audit queries. Call with Auditor. Go through background in detail.	Audit
Neil Dempster	Senior Consultant 2	29-Apr-19	0.20	440	88.00	Creditors	Citibank queries - investment reconciliation - ~10 investments, reconcile to ISIN numbers and table for Citibank - some differences	Investors
Renee Lobb	Managing Director	02-May-19	0.10	625	62.50	Trade-On	Payments and claiming of Xero access.	Fund Administration
Renee Lobb	Managing Director	08-May-19	0.10	625	62.50	Trade-On	Scan save and send to WMD Dec Qtr and Mar Qtr BAS's.	Audit
Renee Lobb	Managing Director	10-May-19	0.30	625	187.50	Trade-On	Making payments from series account to pay WMS invoice.	Audit

Renee Lobb	Managing Director	13-May-19	0.20	625	125.00	Trade-On	Updating registers for payments. Make payment from Suncorp account x 2	Fund Administration
Renee Lobb	Managing Director	20-May-19	0.10	625	62.50	Trade-On	Email to Kelly-Anne Trenfield on audit and advice progress.	Audit
Kelly-Anne Trenfield	Senior Managing Director	21-May-19	0.20	695	139.00	Administration	Remuneration review and compilation from 1 Jan 2019 to 31 March 2019	Remuneration
Kelly-Anne Trenfield	Senior Managing Director	23-May-19	0.20	695	139.00	Administration	Review remuneration table and complete categorisation of time entries	Remuneration
Renee Lobb	Managing Director	30-May-19	0.30	625	187.50	Trade-On	Call with WMS regarding audit progress. answer investor forex funds and process.	Audit
Renee Lobb	Managing Director	03-Jun-19	0.80	625	500.00	Trade-On	Downloading all requested statements for WMS for accounting and audit purposes.	Audit
Renee Lobb	Managing Director	03-Jun-19	0.10	625	62.50	Trade-On	Payment of fund expenses for Xero.	Fund Administration
Renee Lobb	Managing Director	04-Jun-19	0.40	625	250.00	Trade-On	Reviewing email with queries about FTI remuneration. Call with Adam regarding same. Provide further details and documentation.	Audit
Renee Lobb	Managing Director	06-Jun-19	6.30	625	3,937.50	Trade-On	Commence review of ASPF FY 17 audit. check against our updates. Notice issues with unit pricing. Call auditor. Then call WMS for 1.5 hours to canvas issues. Review working papers sent through being distributions payable, funds to be invested, coupon income etc. Discussion with Kelly-Anne Trenfield regarding same and distributions if any made pre 2016. Find all source documents and do action plan. Mark up traced changes to draft financials based on review of all.	Audit
Kelly-Anne Trenfield	Senior Managing Director	06-Jun-19	0.30	695	208.50	Administration	RCL re review of audit file/financial; review records re recorded accrual for distribution	Audit
Kelly-Anne Trenfield	Senior Managing Director	06-Jun-19	0.10	695	69.50	Administration	RCL update re audit/accounts	Audit
Renee Lobb	Managing Director	07-Jun-19	0.10	625	62.50	Trade-On	Checking payment to be made, add to register and save.	Fund Administration
Renee Lobb	Managing Director	11-Jun-19	0.30	625	187.50	Trade-On	Moving funds from series account to pay invoices. updating schedule for payments also.	Fund Administration
Renee Lobb	Managing Director	13-Jun-19	0.20	625	125.00	Trade-On	Check payments processed after receiving email from perpetual about processing issues and delays. Make further payment.	Fund Administration
Renee Lobb	Managing Director	18-Jun-19	0.70	625	437.50	Trade-On	Going through audit queries with WMS. Also discuss with WMS then Kelly-Anne Trenfield the findings on ITRs outstanding.	Audit
Kelly-Anne Trenfield	Senior Managing Director	18-Jun-19	0.20	695	139.00	Administration	Rocla re tax lodgements update including discussion with WMSRCL re review of legal advice re tax lodgements	Audit
Renee Lobb	Managing Director	19-Jun-19	0.10	625	62.50	Trade-On	Email queries with WMS, set up call for tomorrow to finalise audit queries and changes for FY17.	Audit
Renee Lobb	Managing Director	20-Jun-19	0.90	625	562.50	Trade-On	Call with WMS and TNR and post discussion with Kelly-Anne Trenfield regarding financials and audit queries and adjustments.	Audit
Kelly-Anne Trenfield	Senior Managing Director	20-Jun-19	0.10	695	69.50	Administration	RCL re audit update	Audit
Renee Lobb	Managing Director	21-Jun-19	0.20	625	125.00	Trade-On	Summarising file note on ITR lodgement requirements.	Fund Administration
Kelly-Anne Trenfield	Senior Managing Director	22-Jun-19	0.10	695	69.50	Administration	Review file note re obligations to file tax returns; Review and update to do list; query outstanding items	Fund Administration
Renee Lobb	Managing Director	25-Jun-19	0.10	625	62.50	Trade-On	Provide investor update reply to email.	Investors

Renee Lobb	Managing Director	14-Jul-19	0.30	625	187.50	Trade-On	Review 2017 audit changes and new disclosures. Send comments to WMS and auditors:	Audit
Renee Lobb	Managing Director	15-Jul-19	0.10	625	62.50	Trade-On	Respond to WMS financials queries.	Audit
Renee Lobb	Managing Director	26-Jul-19	0.20	625	125.00	Trade-On	Request Neil Dempster do transfers from series accounts to pay Was. Make payment to advanced share.	Audit
Neil Dempster	Senior Consultant 2	26-Jul-19	0.50	465	232.50	Creditors	Allocate payments WMS inv 86372 from various series accounts to main	Audit
Neil Dempster	Senior Consultant 2	29-Jul-19	0.20	465	93.00	Administration	Payment WMS invoice 86372 via Suncorp - scan to CCS payments and save to file	Audit
Renee Lobb	Managing Director	02-Aug-19	0.10	625	62.50	Administration	Organising catch up with WMS regarding fund audit queries.	Audit
Renee Lobb	Managing Director	02-Aug-19	0.10	625	62.50	Trade-On	Paying fund costs.	Fund Administration
Renee Lobb	Managing Director	07-Aug-19	0.10	625	62.50	Trade-On	CALL WITH ADAM FROM WMS RE AUDITS AND TIME TO RESOLVE FINAL QUERIES.	Audit
Renee Lobb	Managing Director	08-Aug-19	0.40	625	250.00	Trade-On	Call with WMS to go through audit queries. Answer same and provide supplementary documentation.	Audit
Renee Lobb	Managing Director	09-Aug-19	0.10	625	62.50	Trade-On	Processing payment to advanced share.	Fund Administration
Renee Lobb	Managing Director	15-Aug-19	0.10	625	62.50	Trade-On	Respond to investor update request.	Investors
Renee Lobb	Managing Director	23-Aug-19	0.10	625	62.50	Trade-On	Payment to WMS.	Audit
Renee Lobb	Managing Director	28-Aug-19	0.30	625	187.50	Trade-On	Do payment transfers from series to main account for payment of perpetual invoice. updating schedules.	Fund Administration
Renee Lobb	Managing Director	02-Sep-19	0.10	625	62.50	Trade-On	Follow up audit progress and respond to Kelly-Anne Trenfield regarding same.	Audit
Renee Lobb	Managing Director	04-Sep-19	0.20	625	125.00	Trade-On	Respond to request for update on funds from investor representative.	Investors
Renee Lobb	Managing Director	04-Sep-19	0.10	625	62.50	Trade-On	Fund payable claim.	Fund Administration
Renee Lobb	Managing Director	06-Sep-19	0.30	625	187.50	Trade-On	Processing payments pro rated from series accounts.	Fund Administration
Renee Lobb	Managing Director	10-Sep-19	0.20	625	125.00	Trade-On	Follow up audit timing with WMS. Call with WMS regarding update on audit timing.	Audit
Kelly-Anne Trenfield	Senior Managing Director	10-Sep-19	0.20	695	139.00	Administration	Prepare remuneration schedule to 30 June 2019review wipe schedule for period 1 April to 30 June re prep for remuneration application	Remuneration
Renee Lobb	Managing Director	12-Sep-19	0.10	625	62.50	Trade-On	Payment processing.	Fund Administration
Kelly-Anne Trenfield	Senior Managing Director	12-Sep-19	0.20	695	139.00	Administration	Prepare schedules for remuneration application	Remuneration
Renee Lobb	Managing Director	24-Sep-19	0.10	625	62.50	Trade-On	Following up query list and respond to email on same regarding follow up time frame.	Audit
Renee Lobb	Managing Director	27-Sep-19	0.30	625	187.50	Trade-On	Commence report for investors.	Investors
Renee Lobb	Managing Director	30-Sep-19	0.40	625	250.00	Trade-On	Complete fund update.	Investors
Renee Lobb	Managing Director	02-Oct-19	0.10	625	62.50	Trade-On	Payment and expensing of Xero monthly invoices.	Fund Administration
Renee Lobb	Managing Director	02-Oct-19	0.20	625	125.00	Trade-On	Changes to draft investor update based on discussion with WMS.	Audit
Renee Lobb	Managing Director	04-Oct-19	0.40	625	250.00	Trade-On	Obtaining information for WMS to complete accounts and BASs. Update report to investors based on lodgement timing feedback.	Audit
Kelly-Anne Trenfield	Senior Managing Director	10-Oct-19	0.10	695	69.50	Creditors	Review member update	Investors
Ashleigh Ubank	Administration 2	14-Oct-19	0.10	205	20.50	Administration	Signature/s inserted electronically into documents of report, documents collated and finalised in PDF format.	Investors
John Park	Senior Managing Director	14-Oct-19	0.20	695	139.00	Administration	Settle update to investors.	Investors
Renee Lobb	Managing Director	14-Oct-19	0.10	625	62.50	Trade-On	Request Ashleigh Ubank to finalise LM Investment Management Ltd fund update.	Investors

Renee Lobb	Managing Director	15-Oct-19	0.10	625	62.50	Trade-On	Upload update to website.	Investors
Renee Lobb	Managing Director	18-Oct-19	0.10	625	62.50	Trade-On	Pay advanced share invoice and update register.	Fund Administration
Renee Lobb	Managing Director	21-Oct-19	0.10	625	62.50	Trade-On	Review email from Adam at WMS regarding financials and HiFX. Advise closed out years ago and send email confirmation of same.	Audit
Kelly-Anne Trenfield	Senior Managing Director	22-Oct-19	0.30	695	208.50	Administration	Prepare wipe scheduled; request draft invoice	Remuneration
Kelly-Anne Trenfield	Senior Managing Director	23-Oct-19	0.10	695	69.50	Administration	Review and approve request for proforma invoice/draft bill/finalised billing and payment	Remuneration
Renee Lobb	Managing Director	25-Oct-19	0.20	625	125.00	Administration	Review invoices to be paid from the fund. Do apportionment spread sheet. Save invoices.	Fund Administration
Renee Lobb	Managing Director	04-Nov-19	0.10	625	62.50	Trade-On	Payments for fund	Fund Administration
Kelly-Anne Trenfield	Senior Managing Director	04-Nov-19	0.20	695	139.00	Administration	Preparation of remuneration schedules re application for fee approval to 31 October 2019	Remuneration
Renee Lobb	Managing Director	05-Nov-19	0.20	625	125.00	Trade-On	Entering in receipts to LM Investment Management Ltd for payment by ASPF of fee invoices.	Fund Administration
Kelly-Anne Trenfield	Senior Managing Director	06-Nov-19	0.10	695	69.50	Administration	Review and approve payment of outstanding administration liabilities including review of supporting documentation and signing of transfer or cheque	Fund Administration
Renee Lobb	Managing Director	07-Nov-19	0.10	625	62.50	Trade-On	Saving and scanning documents for receipts and payments made.	Fund Administration
Kelly-Anne Trenfield	Senior Managing Director	11-Nov-19	0.30	695	208.50	Administration	Review and finalisation remuneration schedules to 31 October 2019	Remuneration
Renee Lobb	Managing Director	19-Nov-19	0.10	625	62.50	Trade-On	Reviewing email on audit timing from Adam. Respond.	Audit
Kelly-Anne Trenfield	Senior Managing Director	28-Nov-19	0.20	695	139.00	Administration	Finalise fee schedulesPrepare task/activity summary	Remuneration
Renee Lobb	Managing Director	29-Nov-19	0.40	625	250.00	Trade-On	Payments for WMS, perpetual and Advanced from series to main account.	Audit
Renee Lobb	Managing Director	02-Dec-19	0.20	625	125.00	Trade-On	Review, amend and request Ashleigh Ubank to finalise representation letter to go with audit. save final draft.	Audit
Ashleigh Ubank	Administration 2	02-Dec-19	0.10	205	20.50	Administration	Representation letters formatted and printed for signing.	Audit
Renee Lobb	Managing Director	05-Dec-19	0.20	625	125.00	Trade-On	Scan, save and sent to TNR audit and representation letter with lodgement instructions. Payments also and update register.	Audit
Renee Lobb	Managing Director	09-Dec-19	0.20	625	125.00	Trade-On	Completing audit forms for lodgement.	Audit
Renee Lobb	Managing Director	10-Dec-19	1.00	625	625.00	Trade-On	Attending to audit query email for financials from WMS.	Audit
Renee Lobb	Managing Director	10-Dec-19	0.20	625	125.00	Trade-On	Responding to fund update request. Send audit third party authorisation letter as requested.	Investors
Renee Lobb	Managing Director	10-Dec-19	0.20	625	125.00	Trade-On	Payments from series to general account to pay TNR. Updating register of fund payables.	Fund Administration
Ashleigh Ubank	Administration 2	10-Dec-19	0.10	205	20.50	Administration	Electronic signature/s inserted into the payment authorisation forms and finalised in PDF format.	Administration
Renee Lobb	Managing Director	13-Jan-20	0.10	625	62.50	Trade-On	Reviewing email about account signatory and respond.	Audit
Kelly-Anne Trenfield	Senior Managing Director	13-Jan-20	0.40	695	278.00	Administration	Prepare remuneration approval schedules to 31 December 2019	Remuneration
Renee Lobb	Managing Director	15-Jan-20	0.20	625	125.00	Trade-On	Respond to investor update request. Apportion fund payments owed and generate payments for perpetual to process. update register.	Investors
Renee Lobb	Managing Director	16-Jan-20	0.20	625	125.00	Trade-On	Payments to main account for Advanced Share Registry and WMS.	Fund Administration



Kelly-Anne Trenfield	Senior Managing Director	17-Jan-20	0.20	695	139.00	Administration	Review/amend affidavit re remuneration approval	Remuneration
Renee Lobb	Managing Director	20-Jan-20	0.20	625	125.00	Trade-On	Reviewing expenses information. do invoice for same and send to Kelly-Anne Trenfield to review.	Fund Administration
							Call with Adam regarding audit queries and meeting to discuss. Review and forward on to auditors the CBA response on signatories. Request new CBA authority letters to be signed by John. Reviewing email from Adam at WMS with pre call queries. Review file, locate documentation and send via email. Call to Adam to establish same and any further queries. Request approval for audit certificate letters to be finalised, issue same. review op cost and legals invoices and resend to Kelly-Anne Trenfield. Do ASPF apportionment for payments tomorrow.	
Renee Lobb	Managing Director	21-Jan-20	1.80	625	1,125.00	Trade-On		Audit
Ashleigh Ubank	Administration 2	21-Jan-20	0.10	205	20.50	Administration	Electronic signature/s inserted into third party authorisation form and finalised in PDF format.	Audit
							Review invoices re recoupment of ops and legal costsreview and approve invoicing re costs; Review and update to do list; query outstanding items RCL update re accounts/audit	
Kelly-Anne Trenfield	Senior Managing Director	21-Jan-20	0.20	695	139.00	Administration		Fund Administration
							Payments from series to LM Investment Management Ltd and to main account for expenses. Call with accountant and auditors about queries. Respond to further information request from auditors.	
Renee Lobb	Managing Director	22-Jan-20	1.70	625	1,062.50	Trade-On		Fund Administration
Kelly-Anne Trenfield	Senior Managing Director	22-Jan-20	0.40	695	278.00	Administration	Review constitution re management fees; calculate management fees	Fund Administration
Renee Lobb	Managing Director	23-Jan-20	0.30	625	187.50	Trade-On	Do series payments for ASPF rem application russells invoice. update register.	Fund Administration
Renee Lobb	Managing Director	23-Jan-20	0.10	625	62.50	Trade-On	Make payment to perpetual.	Fund Administration
Renee Lobb	Managing Director	24-Jan-20	0.30	625	187.50	Trade-On	Attending to audit queries. send required documentation to TNR.	Audit
Renee Lobb	Managing Director	24-Jan-20	0.10	625	62.50	Trade-On	Check account to move funds to russells. note payments not processed by perpetual and follow up same.	Fund Administration
Renee Lobb	Managing Director	24-Jan-20	0.30	625	187.50	Trade-On	Payments to russells. updating registers.	Fund Administration
Renee Lobb	Managing Director	28-Jan-20	0.30	625	187.50	Trade-On	Fwd to Ashleigh Ubank audit confirmation request to action. answer audit queries.	Audit
Renee Lobb	Managing Director	28-Jan-20	0.10	625	62.50	Trade-On	Payment to russells. update register.	Fund Administration
Ashleigh Ubank	Administration 2	28-Jan-20	0.10	205	20.50	Administration	Letter of authority arranged for signing, signed copy scanned, saved to file and issued to requesting party.	Audit
							Call with auditor after receipt of email. query actual query and drill into supporting documentation. reconcile previous 2018 reimbursement by fund to LMIM with 2020 reimbursement and calculate double up. Send information to Kelly-Anne Trenfield to authorise reimbursement. Response to auditor regarding same. Process reimbursement. call with Adam about financials review.	
Renee Lobb	Managing Director	29-Jan-20	1.30	625	812.50	Trade-On		Audit
Kelly-Anne Trenfield	Senior Managing Director	30-Jan-20	0.10	695	69.50	Administration	Respond to Russells queries re inclusion of disbursements	Remuneration
Neil Dempster	Senior Consultant II	30-Jan-20	0.10	465	46.50	Creditors	Email to Citibank with contact details advanced share	Investors

Renee Lobb	Managing Director	31-Jan-20	0.80	625	500.00	Trade-On	Reviewing draft financials. add comments and mark up changes. return to WMS with queries.	Audit
Renee Lobb	Managing Director	04-Feb-20	0.10	625	62.50	Trade-On	Expense claim and payment checking.	Fund Administration
Renee Lobb	Managing Director	04-Feb-20	0.30	625	187.50	Trade-On	Going through financials queries with Adam at WMS. Settle final queries and note additional docs required for dec Qtr 19.	Audit
Renee Lobb	Managing Director	04-Feb-20	0.10	625	62.50	Trade-On	Expense claim and payment checking.	Fund Administration
Kelly-Anne Trenfield	Senior Managing Director	04-Feb-20	0.10	695	69.50	Administration	Review and approve client engagement; instructions to RCL re calcs re feeder funds	Fund Administration
Ashleigh Ubank	Administration 2	05-Feb-20	0.10	205	20.50	Administration	Electronic signature/s inserted into letter, letter collated with attachments and finalised in PDF & hard copy format.	Audit
Renee Lobb	Managing Director	05-Feb-20	0.10	625	62.50	Administration	Filing audit emails.	Audit
Renee Lobb	Managing Director	05-Feb-20	0.10	625	62.50	Trade-On	Make WMS payment. update register.	Audit
Renee Lobb	Managing Director	06-Feb-20	0.30	625	187.50	Trade-On	Auditor email and call queries. respond to same.	Audit
Neil Dempster	Senior Consultant II	06-Feb-20	0.10	465	46.50	Creditors	Scan transfer request - mail to Advanced	Investors
Renee Lobb	Managing Director	11-Feb-20	0.10	625	62.50	Trade-On	Reviewing audit representation letter. send to Kelly-Anne Trenfield for approval. Return email to TNR on finalisation of same.	Audit
Renee Lobb	Managing Director	12-Feb-20	0.10	625	62.50	Trade-On	Call with Adam from WMS regarding info for financials.	Audit
Renee Lobb	Managing Director	12-Feb-20	1.30	625	812.50	Trade-On	Going through request for information from WMS. extract all bank statements and check investor statement. send quarterly information and other relevant supporting documentation.	Audit
Renee Lobb	Managing Director	18-Feb-20	0.50	625	312.50	Trade-On	Management fee information gathering. Send to Kelly-Anne Trenfield when complete in draft.	Fund Administration
Renee Lobb	Managing Director	18-Feb-20	0.30	625	187.50	Trade-On	Call with Adam. Subsequent email regarding changes to notes etc after discussion. Reply.	Audit
Renee Lobb	Managing Director	19-Feb-20	0.30	625	187.50	Trade-On	Process russells invoice and update register. Call CBA regarding audit certificate information request after speaking with TNR.	Fund Administration
Renee Lobb	Managing Director	20-Feb-20	0.20	625	125.00	Trade-On	Dealing with CBA on audit certificate information requirements.	Audit
Ashleigh Ubank	Administration 2	20-Feb-20	0.20	205	41.00	Administration	Authority formatted. Electronic signature/s inserted into letter, letter collated with attachments and finalised in PDF format.	Audit
Renee Lobb	Managing Director	21-Feb-20	0.30	625	187.50	Trade-On	Send required information to CBA for audit certificate. Send TNR audit representation letters. Prepare lodgements forms to go to ASIC Monday.	Audit
Renee Lobb	Managing Director	24-Feb-20	0.10	625	62.50	Trade-On	Call with TNR regarding audits and minor lodgement amendments. instructions to Ashleigh Ubank to rectify before sending in mail.	Audit
Renee Lobb	Managing Director	27-Feb-20	0.10	625	62.50	Trade-On	Payment of tar invoice. Update register.	Fund Administration
Renee Lobb	Managing Director	03-Mar-20	0.10	625	62.50	Trade-On	Make payment to WMS. Update register.	Administration
Renee Lobb	Managing Director	06-Mar-20	0.10	625	62.50	Trade-On	Respond to accountant queries.	Audit
Renee Lobb	Managing Director	09-Mar-20	0.10	625	62.50	Trade-On	Review and respond to queries on notes to the financials. provide update information.	Audit
Renee Lobb	Managing Director	10-Mar-20	0.10	625	62.50	Trade-On	Review email and arrange call with auditors to discuss queries. request queries pre call.	Audit

Renee Lobb	Managing Director	11-Mar-20	0.50	625	312.50	Trade-On	Reviewing agenda for teleconference. Review file for audit queries and add notes. attend teleconference and send emails with additional information required.	Audit
Renee Lobb	Managing Director	17-Mar-20	0.10	625	62.50	Trade-On	Email to TNR and WMS with ASIC AFSL extension documentation	Audit
Kelly-Anne Trenfield	Senior Managing Director	18-Mar-20	0.40	695	278.00	Administration	Prepare updated remuneration schedules to 29 February 2020	Remuneration
Renee Lobb	Managing Director	23-Mar-20	0.20	625	125.00	Trade-On	Respond to Kat request for audit update. Request timing from auditors.	Audit
Kelly-Anne Trenfield	Senior Managing Director	24-Mar-20	0.10	695	69.50	Administration	Update remuneration application schedules to 29 February 2020	Remuneration
Renee Lobb	Managing Director	26-Mar-20	0.40	625	250.00	Trade-On	Reviewing financials and ensure changes made to AIF are reflected in ASPF financial notes. Respond to auditors confirming draft in order and save draft to file.	Audit
Renee Lobb	Managing Director	30-Mar-20	0.40	625	250.00	Trade-On	Request TK to finalise audited accounts for FY 19. Reviewing request for compliance information from perpetual. Respond and provide same. Check and print letters and do lodgement forms with instructions for signing by John Park.	Audit
Tanya Kratz	Administration 2	30-Mar-20	0.30	205	61.50	Administration	Format final audit document as requested.	Audit
Renee Lobb	Managing Director	01-Apr-20	0.10	625	62.50	Trade-On	Respond to investor update request.	Investors
Renee Lobb	Managing Director	01-Apr-20	0.40	625	250.00	Trade-On	Scan and sent then follow up financials completed to enable mailing today. Compile returned documents and delete blank pages from pdf as well and change 388 address details. frank and mail out. drop in post box. Send final pdf version to WMS and TNR.	Audit
Renee Lobb	Managing Director	14-Apr-20	0.40	660	264.00	Trade-On	Make series payments to main account to pay TNR. make payment to advanced and update register.	Audit
Renee Lobb	Managing Director	16-Apr-20	0.30	660	198.00	Trade-On	Series payments to main account to pay perpetual fees. Payment to TNR,	Audit
Renee Lobb	Managing Director	16-Apr-20	0.10	660	66.00	Trade-On	Payment to perpetual. update registers.	Fund Administration
Renee Lobb	Managing Director	21-Apr-20	0.30	660	198.00	Trade-On	Go into Suncorp and note payments from 15 April not processed. Follow up Perpetual. Make further payment once processed.	Fund Administration
Renee Lobb	Managing Director	29-Apr-20	0.30	660	198.00	Trade-On	Save invoices to file, pay through Suncorp, send to perpetual for release and update register. Series to main account calculations and 10 transfers.	Fund Administration
Renee Lobb	Managing Director	05-May-20	0.10	660	66.00	Trade-On	Claim for Xero costs.	Administration
Renee Lobb	Managing Director	05-May-20	0.10	660	66.00	Trade-On	Payment to WMS. update register and send to perpetual.	Fund Administration
Renee Lobb	Managing Director	06-May-20	0.20	660	132.00	Trade-On	Review invoice, update register, make payment and send to perpetual for release.	Fund Administration
Renee Lobb	Managing Director	22-May-20	0.10	660	66.00	Investigations	Email to Millie regarding closure of ASPF and inclusion of future fees in present or future application to be capped to enable closure.	Fund Administration
Renee Lobb	Managing Director	28-May-20	0.10	660	66.00	Trade-On	Email to TNR and WMS to arrange catch up to go through closure requirements for final accounts and audit.	Audit
Renee Lobb	Managing Director	02-Jun-20	0.10	660	66.00	Trade-On	Payment and claim of Xero costs.	Fund Administration

Renee Lobb	Managing Director	02-Jun-20	0.30	660	198.00	Trade-On	Email to Adam at WMS regarding providing every invoice in the quarter. request specific query list. send relevant invoices off the back of that query list.	Audit
Renee Lobb	Managing Director	04-Jun-20	0.40	660	264.00	Trade-On	Call with TNR and WMS regarding closure strategy and timing for funds.	Audit
Renee Lobb	Managing Director	04-Jun-20	0.10	660	66.00	Trade-On	Review and issue BAS statements to WMS.	Fund Administration
Tanya Kratz	Administration 2	04-Jun-20	0.10	220	22.00	Administration	Source Excel WIP Proforma as requested.	Remuneration
Tanya Kratz	Administration 2	04-Jun-20	0.30	220	66.00	Administration	Finalise Business Activity Statement x2 as requested.	Fund Administration
Renee Lobb	Managing Director	05-Jun-20	0.40	660	264.00	Trade-On	Starting closure ESOP. Email to Kelly-Anne Trenfield on same.	Fund Administration
Renee Lobb	Managing Director	12-Jun-20	0.20	660	132.00	Trade-On	Make advanced share payment. update register.	Fund Administration
Kelly-Anne Trenfield	Senior Managing Director	15-Jun-20	0.40	720	288.00	Administration	Update remuneration schedules from 1 March to 31 May 2020	Remuneration
Kelly-Anne Trenfield	Senior Managing Director	22-Jun-20	0.20	720	144.00	Administration	Amend remuneration tables to isolate wipe data from 1 March to 31 May 2020	Remuneration
Renee Lobb	Managing Director	24-Jun-20	0.10	660	66.00	Trade-On	Respond to investor request for update.	Investors
Renee Lobb	Managing Director	02-Jul-20	0.20	660	132.00	Trade-On	Make Payments. Update register.	Fund Administration
Renee Lobb	Managing Director	09-Jul-20	0.10	660	66.00	Trade-On	Process payment for Advanced Share and update register.	Fund Administration
Kelly-Anne Trenfield	Senior Managing Director	16-Jul-20	0.30	720	216.00	Administration	Update remuneration schedules to 30 June 2020	Remuneration
Renee Lobb	Managing Director	16-Jul-20	0.20	660	132.00	Trade-On	Call with Geoff from TNR regarding final audit. communicate progress to Kelly-Anne Trenfield.	Audit
Renee Lobb	Managing Director	31-Jul-20	0.10	660	66.00	Trade-On	Check for payment of advanced invoice. respond to enquiry.	Fund Administration
Renee Lobb	Managing Director	03-Aug-20	0.10	660	66.00	Trade-On	Make payment	Fund Administration
Renee Lobb	Managing Director	05-Aug-20	0.10	660	66.00	Trade-On	Follow up perpetual for payment regarding lease.	Fund Administration
Renee Lobb	Managing Director	07-Aug-20	0.10	660	66.00	Trade-On	Pay invoice for advanced share. update register.	Fund Administration
Renee Lobb	Managing Director	07-Aug-20	0.80	660	528.00	Trade-On	Compile, save and send email with accounting information to WMS.	Audit
Renee Lobb	Managing Director	24-Aug-20	0.10	660	66.00	Trade-On	Review BAS. Scan, save and return to WMS executed June Qtr 2020 BAS.	Fund Administration
Renee Lobb	Managing Director	25-Aug-20	0.20	660	132.00	Trade-On	Do NAV calc for FY 20 update.	Fund Administration
Renee Lobb	Managing Director	28-Aug-20	0.30	660	198.00	Trade-On	Review KATs changes to update. Send to John Park for approval to finalise investor update after reflecting Kelly-Anne Trenfield's changes in the AIF update in the ASPF update.	Fund Administration
Kelly-Anne Trenfield	Senior Managing Director	28-Aug-20	0.10	720	72.00	Administration	Review and amend members update	Fund Administration
Ashleigh Ubank	Administration 2	31-Aug-20	0.10	220	22.00	Administration	Signature/s inserted electronically into documents of report, documents collated and finalised in PDF format.	Administration
Renee Lobb	Managing Director	31-Aug-20	0.20	660	132.00	Trade-On	Review final update, Load to website. Confirm to Kelly-Anne Trenfield and John Park now live.	Fund Administration
Renee Lobb	Managing Director	01-Sep-20	0.20	660	132.00	Trade-On	Call with Francis from Financial partners. give detailed background and information on their client holdings in fund.	Investors
Renee Lobb	Managing Director	02-Sep-20	0.10	660	66.00	Trade-On	Download and pay xero expense claim same.	Fund Administration
Renee Lobb	Managing Director	03-Sep-20	0.10	660	66.00	Trade-On	Make payment from fund to WMS. Update register.	Fund Administration
Renee Lobb	Managing Director	03-Sep-20	0.30	660	198.00	Trade-On	Reviewing email with alleged holding. No LM Investment Management Ltd ID number so search all registers and reply with information i have for that adviser and investor.	Investors

Renee Lobb	Managing Director	03-Sep-20	0.10	660	66.00	Trade-On	Providing information to ASIC.	Fund Administration
Renee Lobb	Managing Director	07-Sep-20	0.40	660	264.00	Trade-On	Reviewing required account details and complete spreadsheet for audit confirmations. Return to TNR. Review and send off audit confirmation letter.	Audit
Ashleigh Ubank	Administration 2	07-Sep-20	0.20	220	44.00	Administration	Letter formatted. Electronic signature/s inserted into letter, letter collated with attachments and finalised in PDF and/or hard copy format.	Administration
Renee Lobb	Managing Director	09-Sep-20	0.10	660	66.00	Trade-On	Fund payment. Send to Perpetual and update register.	Fund Administration
Kelly-Anne Trenfield	Senior Managing Director	01-Oct-20	0.30	720	216.00	Administration	Update remuneration approval schedules to 30 September 2020	Remuneration
Renee Lobb	Managing Director	01-Oct-20	0.30	660	198.00	Trade-On	Reviewing financials draft for FY20. Return email to Adam regarding timing for fund wind up and request what is needed on remuneration to finalise drafts. Send to Kelly-Anne Trenfield as FYI.	Audit
Renee Lobb	Managing Director	02-Oct-20	0.10	660	66.00	Trade-On	Dealing with audit confirmation issue with TNR and Suncorp.	Audit
Renee Lobb	Managing Director	02-Oct-20	0.10	660	66.00	Trade-On	Pay costs of winding up via expense claim - Xero costs.	Fund Administration
Renee Lobb	Managing Director	08-Oct-20	0.20	660	132.00	Trade-On	Making fund payments and updating register.	Fund Administration
Renee Lobb	Managing Director	13-Oct-20	0.10	660	66.00	Trade-On	Send email to Donna with authorisation to try and resolve balance chick issue with Suncorp.	Fund Administration
Renee Lobb	Managing Director	28-Oct-20	0.10	660	66.00	Trade-On	Call with Scott from TNR with audit update. Provide update from our end also.	Audit
					<b>\$ 48,666.50</b>			

**LM Australian Structured Product Fund: Calculation of Category 1 July 2018 to 31 October 2020**

Employee	Position	Rate/hour excl GST \$	Total actual hours	Total (excl GST) \$	Administration \$	Assets \$	Creditors \$	Dividend \$	Investigations \$	Trade On \$
Ashleigh Ubank	Administration 2	185 205 220	0.90 0.80 0.30	396.50	378.00		18.50			
Caroline Halcoop	Administration 2	185	0.30	55.50	55.50					
John Park	Senior Managing Director	695	0.20	139.00	139.00					
Kelly-Anne Trenfield	Senior Managing Director	625 695 720	1.90 4.80 1.30	5,459.50	5,390.00		69.50			
Neil Dempster	Senior Consultant 1 Senior Consultant 2	380 440 465	3.80 0.70 0.90	2,170.50	871.00		1,299.50			
Renee Lobb	Senior Director Managing Director Managing Director	570 580 625 660	19.80 3.90 33.80 8.30	40,151.00	877.00				755.00	38,519.00
Talia Glover	Administration 1	155	0.10	15.50 0.00	15.5					
Tanya Kratz	Administration 2	185 205 220	0.70 0.30 0.40	279.00	279.00					
<b>Subtotal</b>			<b>83.20</b>	<b>48,666.50</b>	<b>8,005.00</b>	<b>0.00</b>	<b>1,387.50</b>	<b>0.00</b>	<b>755.00</b>	<b>38,519.00</b>
<b>GST</b>				<b>4,866.65</b>						
<b>Total (including GST)</b>				<b>53,533.15</b>						
<b>Hours</b>			<b>83.20</b>		<b>15.50</b>	<b>0.00</b>	<b>3.40</b>	<b>0.00</b>	<b>1.30</b>	<b>63.00</b>

LM Institutional Currency Protected Income Fund								
Liquidator's Remuneration - 1 October 2015 to 31 October 2020								
Name	Position	Date	Hrs	Charge out Rate	Charge	Task Descriptio	Narrative	Activity
Benjamin Robson	Consultant 1	06-Oct-15	0.20	300	60.00	Trade-On	Respond to Investor enquiry	Investor
Benjamin Robson	Consultant 1	07-Oct-15	0.50	300	150.00	Trade-On	Preparation of September 2015 NAV	Fund Administration
Benjamin Robson	Consultant 1	09-Oct-15	0.30	300	90.00	Trade-On	Investor enquiry responses - various	Investor
Glenn O'Kearney	Senior Director	19-Oct-15	0.20	560	112.00	Trade-On	Review 30 September NAV calculations and task list manager.	Fund Administration
Benjamin Robson	Consultant 1	20-Oct-15	0.40	300	120.00	Trade-On	September Qtr data retrieval and summary	Remuneration
Glenn O'Kearney	Senior Director	02-Nov-15	0.10	560	56.00	Creditors	Review asic statement .,note to BJR regarding payables.	ASIC
Glenn O'Kearney	Senior Director	04-Nov-15	0.20	560	112.00	Assets	Review 11th report to investors FMIF. note BJR regarding NAV's	Fund Administration
Benjamin Robson	Consultant 1	05-Nov-15	0.30	300	90.00	Trade-On	Pre appointment payables position reconciliation	Fund Administration
Benjamin Robson	Consultant 1	09-Nov-15	0.30	300	90.00	Trade-On	Perpetual - update from John Newby on outstanding invoices. Enter into Fund payables register and regarding	Fund Administration
Benjamin Robson	Consultant 1	09-Nov-15	0.60	300	180.00	Trade-On	Preparation of October 2015 NAV	Fund Administration
Glenn O'Kearney	Senior Director	10-Nov-15	0.20	560	112.00	Trade-On	Review monthly NAV and comments BJR task summary. notes for discussions.	Fund Administration
Glenn O'Kearney	Senior Director	12-Nov-15	0.20	560	112.00	Trade-On	Review updated schedule regarding advisor commissions. review reconciliation from SH regarding WU funds/reconciliation etc. meet with Steve to discuss further. note to peter Schmidt regarding advice/meet wit discuss advisor commissions. . discussions with	Fund Administration
Benjamin Robson	Consultant 1	23-Nov-15	0.50	300	150.00	Trade-On	Collate from the Fund invoices issued, all time into one central spread sheet for entire VA/CVL period as per Russell request	Fund Administration
Benjamin Robson	Consultant 1	23-Nov-15	0.50	300	150.00	Trade-On	Collate from the Fund invoices issued, all time into one central spread sheet for entire VA/CVL period as per Russell request	Fund Administration
Benjamin Robson	Consultant 1	24-Nov-15	1.00	300	300.00	Trade-On	LM ICPAIF - Collating and formatting WIP data from 19 March 2013 to 30 September 2015, spell checking data and preparation of ARITA tables by financial year for fee application court hearing at request of Russells	Remuneration
Benjamin Robson	Consultant 1	25-Nov-15	0.20	300	60.00	Trade-On	Address change request for Ronal Smith ID 100261494	Investor
Renee Lobb	Director	25-Nov-15	0.20	510	102.00	Investigations	Review correspondence from Grant Thornton regarding receivership of western union. emails with John Park and GOK regarding potential website blurb to reflect happenings.	Receiver
Glenn O'Kearney	Senior Director	25-Nov-15	0.20	560	112.00	Creditors	Review fee data and Arita schedules for court application. discuss with BJR regarding amendments required	Remuneration
Glenn O'Kearney	Senior Director	25-Nov-15	0.10	560	56.00	Creditors	Review correspondence regarding receivership appointment - note to JP.	Receiver
Glenn O'Kearney	Senior Director	26-Nov-15	0.50	560	280.00	Creditors	Review correspondence receivers. drafting update for website for investors. review and finalise ARITA and documents for court approval remuneration. call from GT receivers and file note.	Fund Administration

Brittany Newman	Administration 1	27-Nov-15	0.10	135	13.50	Administration	Mail in from ATO - BAS (1 - 30 November 2015) DOC ID 32 294 257 077	Administration
Glenn O'Kearney	Senior Director	30-Nov-15	0.10	560	56.00	Administration	Call from eckard regarding Grant thornton correspondence.	Receiver
Brittany Newman	Administration 1	02-Dec-15	0.10	135	13.50	Administration	Mail in from Grant Thornton - Notice of Receivers & Managers Appointed	Administration
Glenn O'Kearney	Senior Director	02-Dec-15	0.10	560	56.00	Creditors	Correspondence from eghard regarding queries on feeder funds.	Fund Administration
Glenn O'Kearney	Senior Director	03-Dec-15	0.20	560	112.00	Trade-On	Review current NAV and meet with Eghard to discuss.	Fund Administration
Renee Lobb	Director	04-Dec-15	0.10	510	51.00	Trade-On	Updating website with approved update on fund after JRP approval.	Fund Administration
Renee Lobb	Director	11-Dec-15	0.20	510	102.00	Trade-On	Respond to investor query regarding value of units and dependence on First Mortgage Income Fund distributions for receipt of funds.	Investor
Brittany Newman	Administration 1	16-Dec-15	0.10	135	13.50	Administration	Mail in from ATO - BAS (1 - 31 December 2015) DOC ID 33 264 661 539	Administration
Benjamin Robson	Consultant 1	16-Dec-15	0.90	300	270.00	Trade-On	Inclusion of OOP's' data into financial year ARITA tables from 19/03/13 to 30/09/2015	Remuneration
Glenn O'Kearney	Senior Director	22-Dec-15	0.10	560	56.00	Creditors	Review note in from investor group . correspondence with kelly regarding nav's on feeders/.	Fund Administration
Benjamin Robson	Consultant 1	23-Dec-15	0.20	300	60.00	Trade-On	Provide fund update to investor - Inma	Investor
Benjamin Robson	Consultant 1	23-Dec-15	0.40	300	120.00	Trade-On	Transfer requests and responses to various fund update requests	Investor
Glenn O'Kearney	Senior Director	23-Dec-15	0.10	560	56.00	Trade-On	Correspondence KAT/BJR regarding report and NAV's. updates in WMS regarding tax/management accounts.	Fund Administration
Benjamin Robson	Consultant 1	04-Jan-16	0.90	305	274.50	Trade-On	Preparation of December 2015 NAV model	Fund Administration
Glenn O'Kearney	Senior Director	04-Jan-16	0.10	565	56.50	Trade-On	Update in regarding NAV's WIP data etc. TDL regarding reporting etc.	Fund Administration
Benjamin Robson	Consultant 1	05-Jan-16	0.40	305	122.00	Trade-On	Obtain and format for review December Qtr 2015 WIP and OOPs data. Reconcile ICPAIF Accounts and LM registers (Fees, Fund Payables, Consultants Fees) for inclusion in NAV	Fund Administration
Glenn O'Kearney	Senior Director	06-Jan-16	0.60	565	339.00	Creditors	Review 31 December 2015 NAV. update NAV for GST treatment and 31 Dec fee/oops. drafting of circular to investors. reference various materials to finalize circular. note to Kelly.	Fund Administration
Kelly-Anne Trenfield	Senior Managing Director	08-Jan-16	0.50	625	312.50	Administration	review NAV and investor update review and amend revised draft report	Fund Administration
Glenn O'Kearney	Senior Director	08-Jan-16	0.20	565	113.00	Creditors	Review changes to reports KAT. prepare updated report including estimated unit price. note to KAT/Renee/SH regarding units.	Fund Administration
Glenn O'Kearney	Senior Director	11-Jan-16	0.20	565	113.00	Trade-On	Amend circular investors. review correspondence SH regarding NAV/units. c correspondence robb re registers. review registers/ note BJR.	Fund Administration
Glenn O'Kearney	Senior Director	12-Jan-16	0.30	565	169.50	Creditors	Review reports and update regarding units holdings. review reconciliation of holding and note SH further info.	Fund Administration
Benjamin Robson	Consultant 1	12-Jan-16	0.20	305	61.00	Trade-On	Review and confirm december qtr numbers correct	Remuneration



Glenn O'Kearney	Senior Director	15-Jan-16	0.30	565	169.50	Creditors	Review reconciliation from SH regarding AUD units. perform reconciliation/calc/. update NAV and reports to investors. update KAT. finalize email draft to investor enquiry. call form SH regarding same.	Fund Administration
Renee Lobb	Director	15-Jan-16	0.30	510	153.00	Trade-On	Review and upload fund update to website individual tab and homepage. Send update to Simon Lister.	Fund Administration
Kelly-Anne Trenfield	Senior Managing Director	15-Jan-16	0.10	625	62.50	Administration	review finalised report; JRP approval re signature on report; arrange distribution	Fund Administration
Kelly-Anne Trenfield	Senior Managing Director	15-Jan-16	0.10	625	62.50	Administration	Review monthly NAV update	Fund Administration
Brittany Newman	Administration 1	22-Jan-16	0.10	145	14.50	Administration	Mail in from ATO - Correspondence; Your PAYG withholding registration is cancelled	Administration
Renee Lobb	Director	27-Jan-16	0.10	510	51.00	Investigations	Request registry updated data from GOK and BJR to send notice tomorrow.	Fund Administration
Glenn O'Kearney	Senior Director	27-Jan-16	0.10	565	56.50	Creditors	Review correspondence in ATO.	Tax
Brittany Newman	Administration 1	29-Jan-16	0.10	145	14.50	Administration	Filing	Administration
Renee Lobb	Director	29-Jan-16	0.80	510	408.00	Trade-On	Sending out to all creditors via email and seeking AMU assistance to send out via post the circular to creditors and investors regarding our remuneration application. Upload legal documents to LM Investment Management Ltd website and confirm with Russells	Investor
Brittany Newman	Administration 1	02-Feb-16	0.10	145	14.50	Administration	Mail in from ATO - BAS (1 - 31 January 2016) DOC ID 33 304 470 112	Administration
Benjamin Robson	Consultant 1	03-Feb-16	0.10	305	30.50	Trade-On	Record WMS Invoice for Jan	Fund Administration
Benjamin Robson	Consultant 1	04-Feb-16	0.70	305	213.50	Trade-On	Preparation of December half 2015 invoices and supporting documents	Remuneration
Glenn O'Kearney	Senior Director	05-Feb-16	0.10	565	56.50	Creditors	Review and approve category 1 ICPAIF invoice.	Remuneration
Renee Lobb	Director	07-Feb-16	0.10	510	51.00	Trade-On	Respond to creditor query on value. direct to circular on website with indicative value.	Fund Administration
Ashleigh Ubank	Administration 2	09-Feb-16	0.10	180	18.00	Administration	Notice to member issued to returned email recipient via post.	Fund Administration
Renee Lobb	Director	09-Feb-16	0.20	510	102.00	Investigations	Go through all emails returned from creditors.. record those not sent and resend to either adviser emails or in the post as required. liaise with AMU on postal circulars. Send to Sean Russell account of those received and returned and by what method.	Remuneration
Renee Lobb	Director	09-Feb-16	0.30	510	153.00	Investigations	Go through all emails returned from creditors.. record those not sent and resend to either adviser emails or in the post as required. liaise with AMU on postal circulars. Send to Sean Russell account of those received and returned and by what method.	Remuneration
Brittany Newman	Administration 1	10-Feb-16	0.10	145	14.50	Administration	Filing	Administration
Glenn O'Kearney	Senior Director	16-Feb-16	0.10	565	56.50	Trade-On	Review and approve letter to ptal regarding terminate custody	Fund Administration
Renee Lobb	Director	25-Feb-16	0.10	510	51.00	Trade-On	Respond to investor pricing query.	Investor
Benjamin Robson	Consultant 1	26-Feb-16	0.60	305	183.00	Trade-On	File notes and updates to handovers regarding Registers, NAV preparations, Payment procedures, Various fund progressions	Fund Administration

Benjamin Robson	Consultant 1	01-Mar-16	1.00	305	305.00	Trade-On	Preparation of February NAV model with JHC. Preparation of Dec - Feb Op costs with JHC. Preparation of Category 2 invoices with JHC. Walk JHC through Member registers, AX and investor management process. Walk JHC through LM Payment process	Fund Administration
James Cook	Associate 2	01-Mar-16	0.30	275	82.50	Administration	Handover with BJR on procedures in respect of management of funds.	Administration
Renee Lobb	Director	15-Mar-16	0.20	510	102.00	Trade-On	Respond to investor query on NAV and audits.	Fund Administration
Glenn O'Kearney	Senior Director	15-Mar-16	0.30	565	169.50	Creditors	Review investor query. Renee. source info and reply.	Investor
Glenn O'Kearney	Senior Director	16-Mar-16	0.30	565	169.50	Creditors	Prepare update note to NR regarding audits/review files regarding any correspondence on Audit relief etc. prepare note to Peter Schmidt regarding advisor liability queries.	Audit
Glenn O'Kearney	Senior Director	18-Mar-16	0.10	565	56.50	Creditors	Respond investor enquiry.	Investor
Glenn O'Kearney	Senior Director	22-Mar-16	0.10	565	56.50	Creditors	Review various transfer request etc. note JC.	Investor
Glenn O'Kearney	Senior Director	31-Mar-16	0.20	565	113.00	Trade-On	Note and discussions JC regarding transfer of account from custodian.	Fund Administration
Glenn O'Kearney	Senior Director	04-Apr-16	0.10	565	56.50	Trade-On	General discussion regarding fund management JC/NAV updates etc.	Fund Administration
Glenn O'Kearney	Senior Director	05-Apr-16	0.20	565	113.00	Creditors	Review correspondence to investor and draft JC and approve. response directly to various investors myself.	Investor
Glenn O'Kearney	Senior Director	06-Apr-16	0.10	565	56.50	Trade-On	Review various transfer/investor correspondence.	Investor
Renee Lobb	Senior Director	08-Apr-16	0.10	565	56.50	Trade-On	Respond to JC's query on see through data.	Fund Administration
Ashleigh Ubank	Administration 2	11-Apr-16	0.10	180	18.00	Administration	WIP proforma generated for code.	Remuneration
Glenn O'Kearney	Senior Director	11-Apr-16	0.20	565	113.00	Trade-On	Brief discussions with Kelly regarding audits/other fund strategy etc. discussions with JC regarding registers/management accounts etc review month end bank statements in.	Fund Administration
Renee Lobb	Senior Director	13-Apr-16	0.10	565	56.50	Trade-On	Respond to investor query and direct to website with indicative NAV.	Fund Administration
James Cook	Consultant 1	13-Apr-16	0.20	305	61.00	Administration	Review correspondence with ICPAIF investors requesting update on unit holdings and distribution update from FMIF.	Investor
Glenn O'Kearney	Senior Director	14-Apr-16	0.10	565	56.50	Trade-On	Review query investor and reply Rlobb with update .note to Renee and Kelly regarding audit issues/advice NR reports etc. correspondence WMS regarding FMIF reports for feeders. note JC regarding further action WMS	Fund Administration
James Cook	Consultant 1	18-Apr-16	0.70	305	213.50	Administration	Attending to NAV position, details with GOK and Suncorp accounts. Reviewing bank statements, exporting CSV files and circulating to WMS for updating of management accounts. Reviewing books and records on file to locate bank statements from 2014 and have WM	Fund Administration
James Cook	Consultant 1	19-Apr-16	0.50	305	152.50	Administration	Reviewing books and records, file for bank statements as requested by WMS. Email to GOK, BJR.	Fund Administration
Glenn O'Kearney	Senior Director	19-Apr-16	0.20	565	113.00	Trade-On	Review query JC regarding statements and reply. note Geoff regarding IT options fund/AX. review server records bank statements and reply JC.	Fund Administration

Glenn O'Kearney	Senior Director	22-Apr-16	0.20	565	113.00	Trade-On	Updates to NR/Kelly regarding audits/ASIC and other matters. review correspondence in from KW regarding settlement with WU. review settlement deed etc. review updates IT regarding shutdown options. note NR regarding commission issues.	ASIC
Glenn O'Kearney	Senior Director	28-Apr-16	0.20	565	113.00	Administration	Review status and discuss with KAT .discuss audit matters, tax, other fund issues. discuss with WMS regarding way forward on man accounts.	Fund Administration
Glenn O'Kearney	Senior Director	04-May-16	0.10	565	56.50	Trade-On	Correspondence from Singapore advisor - reply .	Advisor
Glenn O'Kearney	Senior Director	11-May-16	0.50	565	282.50	Creditors	Work through fund payable registers, consultants registers. review available cash holdings - meet with JC to discuss payments.	Fund Administration
James Cook	Consultant 1	13-May-16	0.50	305	152.50	Administration	Attending to investor queries.	Investor
Glenn O'Kearney	Senior Director	16-May-16	0.10	565	56.50	Creditors	Discussions with JC regarding general responses and updates to investors and TDL. review same.	Investor
Brittany Newman	Administration 1	20-May-16	0.10	145	14.50	Administration	Filing	Administration
James Cook	Consultant 1	20-May-16	0.20	305	61.00	Administration	Liaising with JetConvert and Xero re: account and managing subscription.	Fund Administration
James Cook	Consultant 1	20-May-16	0.40	305	122.00	Administration	Updating Xero profile and account setup, liaising with Jetcovert, setting up authorities and access for WMS.	Fund Administration
James Cook	Consultant 1	23-May-16	1.60	305	488.00	Administration	Liaising with CH re: CBA account manager and the novation of accounts from Perpetual to FTI representatives (incl liquidators) of LMIM as re: for fund. Liaising with Glenn re: same. Liaising with Trent Franklin of Perpetual re: same. Preparing paperwork,	Fund Administration
Glenn O'Kearney	Senior Director	23-May-16	0.50	565	282.50	Trade-On	Correspondence ASIC regarding FOI. review correspondence JC regarding transfer of accounts PTAL to RE. discuss with JC regarding same. Correspondence SH/Schmidt regarding advisor call. correspondence investor queries/discuss with JC. review mail in regard	ASIC
Glenn O'Kearney	Senior Director	24-May-16	0.20	565	113.00	Trade-On	Correspondence SH/Surety regarding IT issues. correspondence with Madhu regarding IT option moving forward for funds .correspondence with Scott mcMurtrie regarding taxation issues etc. instructions to WMS regarding send ethical letter .note JC regarding X	Fund Administration
Glenn O'Kearney	Senior Director	24-May-16	0.20	565	113.00	Creditors	Finalize letter to BDO regarding claims against funds for operational costs/LMA. note to JRP with draft.	FMIF
James Cook	Consultant 1	24-May-16	0.90	305	274.50	Administration	Updating Xero profile and account setup, setting up authorities and access for WMS. Liaising with WMS. Setting up bank feeds.	Fund Administration
Glenn O'Kearney	Senior Director	25-May-16	0.10	565	56.50	Trade-On	Finalize note to ASIC regarding FOI request.	ASIC
James Cook	Consultant 1	25-May-16	0.60	305	183.00	Administration	Attending to NAVs, updating payables register, fee register, consultants register. Discussing with GOK. Reviewing and updating with GOK, bank statements.	Fund Administration
Brittany Newman	Administration 1	26-May-16	0.10	145	14.50	Administration	Filing	Administration
Glenn O'Kearney	Senior Director	26-May-16	0.20	565	113.00	Creditors	Review and finalise response to whyte on LMA funding. note JRP regarding approval to finalise. review NAV meet with Kelly to discuss.	Fund Administration

James Cook	Consultant 1	26-May-16	0.30	305	91.50	Administration	Meeting with GOK and KAT to discuss progress and outstanding tasks on file. Distribution to investors, provisions.	Administration
Glenn O'Kearney	Senior Director	27-May-16	0.10	565	56.50	Trade-On	Review update in WMS regarding tax/other work. note JC regarding info requests etc. note to PKF regarding 2012 returns.	Fund Administration
Brittany Newman	Administration 1	06-Jun-16	0.10	145	14.50	Administration	Mail in from Suncorp Bank - Account Statement for Acct 031139886 (Working Account) for period 1/12/2015 - 30/05/2016	Administration
James Cook	Consultant 1	09-Jun-16	0.20	305	61.00	Administration	Correspondence with Caroline re: transferring CBA accounts from PTAL to Suncorp.	Fund Administration
Glenn O'Kearney	Senior Director	09-Jun-16	0.10	565	56.50	Trade-On	Prepare note to PTAL regarding fees.	Fund Administration
James Cook	Consultant 1	15-Jun-16	0.30	305	91.50	Administration	Reviewing information on audit fees, preparing update on funds in preparation for meeting with ASIC.	ASIC
Glenn O'Kearney	Senior Director	15-Jun-16	0.30	565	169.50	Trade-On	Review previous updates to ASIC, review advice regarding audit relief. correspondence from FMIF regarding audit relief for guidance. prepare agenda and memo for KAT/RCL for meeting ASIC.	ASIC
Brittany Newman	Administration 1	16-Jun-16	0.10	145	14.50	Administration	Filing	Administration
Glenn O'Kearney	Senior Director	21-Jun-16	0.20	565	113.00	Trade-On	Review forms CBA ptal bank account transfers. instructions to CH. drafting of memo to ASIC regarding holdings/constitution and updates auditor. review ASIC website regarding updates on fund etc. review s 331AD. correspondence Renée and Kelly. review const	ASIC
James Cook	Consultant 1	22-Jun-16	0.30	305	91.50	Administration	Reviewing letter and changes to authorities on the account to Perpetual. Forwarding through to Perpetual with instructions. Liaising with CH.	Fund Administration
Glenn O'Kearney	Senior Director	23-Jun-16	0.10	565	56.50	Trade-On	Finalize note to ASIC regarding constitutions/holdings/auditor/deregistration fund.	ASIC
James Cook	Consultant 1	23-Jun-16	0.20	305	61.00	Administration	Reviewing correspondence from Perpetual re: novation of accounts. Circulating to Suncorp and CBA to action.	Fund Administration
James Cook	Consultant 1	27-Jun-16	0.50	305	152.50	Administration	Reviewing bank statements and workpapers for meeting with Donna. Update across the files, including novation of bank accounts. Providing additional information with instructions to novate accounts. Locating formal correspondence with PTAL and circulating through.	Fund Administration
James Cook	Consultant 1	27-Jun-16	0.20	305	61.00	Administration	Working through management accounts, setting up final bank feeds. Correspondence with Brendon re: queries, comments.	Fund Administration
James Cook	Consultant 1	28-Jun-16	0.20	305	61.00	Administration	Correspondence with CH re: transferring CBA accounts over from PTAL to FTI.	Fund Administration
Renee Lobb	Senior Director	30-Jun-16	0.20	565	113.00	Administration	Going through site to amend format and delete old content.	Fund Administration
James Cook	Consultant 1	30-Jun-16	0.40	305	122.00	Administration	Liaising with EY re: removal as auditors. Arranging meeting time. Liaising with Caroline re: authorities on CBA account, PTAL. Correspondence with PTAL, preparing email to forward removing authorities.	Fund Administration

James Cook	Consultant 1	11-Jul-16	0.70	305	213.50	Administration	Reviewing outstanding invoices, reviewing payables registers. Reviewing additional invoices received and updating register. Updating NAVs.	Fund Administration
James Cook	Consultant 1	12-Jul-16	0.70	305	213.50	Administration	Attending to NAVs. Meeting with Steve re: outstanding matters.	Fund Administration
Glenn O'Kearney	Senior Director	13-Jul-16	0.20	565	113.00	Trade-On	Review latest BDO report for discussion in feeder funds reports.	FMIF
James Cook	Consultant 1	14-Jul-16	0.20	305	61.00	Administration	Attending to fees and on costing for 1 Jan 16 to 30 June 16.	Remuneration
Glenn O'Kearney	Senior Director	14-Jul-16	1.00	565	565.00	Trade-On	Drafting investor report. reviewing NAV's, Registers etc. correspondence with JC/WMS regarding accounting/audit info requests etc.	Fund Administration
Glenn O'Kearney	Senior Director	15-Jul-16	0.50	565	282.50	Trade-On	Finalize review of NAV's registers a. finalizing report to unit holders. note to SH regarding review. note to Kelly and Renee regarding reports. reconcile Fund WIP to Jun 16. prepare allocations of same. note to Kelly regarding final allocations.	Fund Administration
Renee Lobb	Senior Director	18-Jul-16	0.20	565	113.00	Trade-On	Review report for investors. respond to GOK and Kelly-Anne Trenfield with my comments on amendments and additions.	Fund Administration
Renee Lobb	Senior Director	19-Jul-16	0.10	565	56.50	Investigations	Review and accept links for access to Xero accounts. create new logins. Also leave message with Suncorp to make time to ID myself for account access.	Fund Administration
Renee Lobb	Senior Director	19-Jul-16	0.10	565	56.50	Trade-On	Note considerable backlog of registry changes and investor responses to JC and GOK. Request they send all unactioned emails to myself and Neil Dempster to get registry up to date.	Fund Administration
James Cook	Consultant 1	19-Jul-16	0.30	305	91.50	Administration	Coordinating handover and setting up of authorities with Renee and Neil.	Administration
Renee Lobb	Senior Director	20-Jul-16	0.20	565	113.00	Administration	Travel to and from gold coast for meetings with gold coast staff and accountants.	Administration
Renee Lobb	Senior Director	20-Jul-16	0.60	565	339.00	Investigations	Meeting with WMS then TMR regarding completion of financials, additional information required, audits completed and to be completed and strategies and timing for completion of same. provide fund background.	Fund Administration
Glenn O'Kearney	Senior Director	20-Jul-16	0.30	565	169.50	Trade-On	Travel to WMS/. meet with WMS regarding status/handover to tax/man account matters. meet with auditors to discuss proposed way forward.	Administration
Glenn O'Kearney	Senior Director	21-Jul-16	0.50	565	282.50	Trade-On	Draft reply to ASIC regarding update audits. correspondence Steve Hannan regarding western union reconciliation. collate info regarding returned distributions. update report to investors with NR and other changes. re-circulate with comments. correspondence	ASIC
Renee Lobb	Senior Director	22-Jul-16	0.30	565	169.50	Trade-On	Send emails to ICPAIF investors where funds were returned. save schedule to update bank details.	Investor
Kelly-Anne Trenfield	Senior Managing Director	22-Jul-16	0.20	625	125.00	Creditors	Review, amend and sign RTC	Fund Administration
Ashleigh Ubank	Administration 2	25-Jul-16	0.30	180	54.00	Administration	Report amended and finalised. Mailing labels generated.	Fund Administration

Renee Lobb	Senior Director	25-Jul-16	0.40	565	226.00	Trade-On	Get latest registry data and separate out advisor and investor emails and postal only investors. Draft email ready to send update to investors and advisors. Remove duplicate data and seek confirmation from Kelly-Anne Trenfield to finalise updates and send	Fund Administration
Ashleigh Ubank	Administration 2	26-Jul-16	0.20	180	36.00	Creditors	Report prepared for send out, issued to mail and faxed to relevant parties.	Fund Administration
Renee Lobb	Senior Director	26-Jul-16	0.80	565	452.00	Trade-On	Travel to and from Gold Coast office for file handover. go through outstanding issues on each fund and LM Investment Management Ltd corporate, go through file handover memos, discuss critical issues, distributions, calculations of NAV's and whereabouts of	Fund Administration
Brittany Newman	Administration 1	26-Jul-16	0.10	145	14.50	Administration	Filing	Administration
Renee Lobb	Senior Director	27-Jul-16	0.50	565	282.50	Trade-On	Reviewing circa 15 emails with fund queries and transfer or change of contact requests. make 6 changes to registry, request additional information on some where bulk changes required, search for investors to identify funds and respond to queries.	Investor
Renee Lobb	Senior Director	28-Jul-16	0.10	565	56.50	Trade-On	Respond to pricing query. send update with indicative unit price and NAV.	Fund Administration
Neil Dempster	Consultant 1	01-Aug-16	0.10	305	30.50	Trade-On	Suncorp - add self to transactional accounts for LMICPIF	Fund Administration
Renee Lobb	Senior Director	02-Aug-16	0.20	565	113.00	Administration	Review david whytes report to investors.	FMIF
Ashleigh Ubank	Administration 2	03-Aug-16	0.30	180	54.00	Administration	Report prepared for send out to investors with incorrect emails, issued to mail and faxed to relevant parties.	Fund Administration
Renee Lobb	Senior Director	03-Aug-16	0.20	565	113.00	Trade-On	Respond to investor request for update.	Investor
Renee Lobb	Senior Director	03-Aug-16	0.10	565	56.50	Investigations	Review and file all documentation from GOK on various matters retrieved from GC office. Directions to Ellie Dopking to open files to track transfer requests and fund payables.	Fund Administration
Renee Lobb	Senior Director	05-Aug-16	0.40	565	226.00	Investigations	Sorting through records from gold coast office. create and file registry maintenance forms including completed transfers and those requiring further information. Arrange records to be sent to storage which are not required.	Administration
Renee Lobb	Senior Director	11-Aug-16	0.20	565	113.00	Trade-On	Assist NGD in responding to investor queries and registry change requests.	Investor
Neil Dempster	Consultant 1	11-Aug-16	0.20	305	61.00	Trade-On	Review investor listing for Thelma Victoria Harris, located but change of details form submitted by son has different ID. email to RCL with proposed action	Investor
Neil Dempster	Consultant 1	11-Aug-16	0.10	305	30.50	Trade-On	Email to Mark Ferris - advise we can't confirm the investor ID provided to change details. Request he confirm correct investor ID	Investor
Renee Lobb	Senior Director	12-Aug-16	0.10	565	56.50	Investigations	Go through accounts online and provide full account to WMS of those still being used across each fund.	Fund Administration
Neil Dempster	Consultant 1	12-Aug-16	0.20	305	61.00	Trade-On	Email from Kimberley Forsythe-Ferris with changed details for investor ID 126922 - involves bank account change. Form previously completed but incorrect, email suggestion to RCL	Investor
Neil Dempster	Consultant 1	12-Aug-16	0.20	305	61.00	Trade-On	Update register for Thelma Ferris, email to confirm change in details, print and file.	Investor

Neil Dempster	Consultant 1	16-Aug-16	0.20	305	61.00	Creditors	Discuss with RCL what is required for invoicing on the fund with respect to remuneration tables and time data	Remuneration
Renee Lobb	Senior Director	29-Aug-16	0.60	565	339.00	Administration	Fees and OOPs reporting for 01/01/16 to 30/06/16. finalise all attachments, ARITA tables, pivot tables and supporting documents and send to Kelly-Anne Trenfield for sign off.	Remuneration
Renee Lobb	Senior Director	06-Sep-16	0.20	565	113.00	Administration	Finalise invoicing, update master and other payment registers. print for file and withhold those for payment.	Fund Administration
Renee Lobb	Senior Director	21-Sep-16	0.30	565	169.50	Investigations	Going through handover memo. note unpaid distributions previously returned and make master register of same.	Administration
Renee Lobb	Senior Director	30-Sep-16	0.30	565	169.50	Investigations	locate documentation setting out funds returned from old distributions. check CBA and suncorp accounts for fund holdings. Send email to Kelly-Anne Trenfield on these monies and redistribution of same.	Fund Administration
Renee Lobb	Senior Director	10-Oct-16	0.20	565	113.00	Trade-On	Entering payables in fee registers and fund payables registers. saving invoices down for future reference.	Fund Administration
Renee Lobb	Senior Director	11-Oct-16	0.10	565	56.50	Trade-On	Check register to ensure no investments held under adviser Evan Bailey per request for changes under this name or Bailey Capital Management.	Fund Administration
Renee Lobb	Senior Director	17-Oct-16	0.20	565	113.00	Administration	Review email from WMS with invoices. send email to Kelly-Anne Trenfield with fund cash position. Note discussion needs to occur on funding.	Fund Administration
Talia Glover	Administration 1	17-Oct-16	0.30	145	43.50	Administration	Payment to King & Wood Mallesons for Inv 10068811 for \$3988.05 - circulated to RCL with deposit paying in slip posted and printed for funds received from LM Currency protected Australian income fund \$719.95	Fund Administration
Renee Lobb	Senior Director	19-Oct-16	0.10	565	56.50	Trade-On	Email WMS regarding no monies to pay invoices and to confirm okay to withhold till funds received,	Fund Administration
Renee Lobb	Senior Director	31-Oct-16	0.10	565	56.50	Administration	Draft email to ASIC requesting relief and removal and replacement of EY.	ASIC
Renee Lobb	Senior Director	09-Nov-16	0.10	565	56.50	Administration	Go through with Neil Dempster distributions to be resent to investors, tasks to be completed before being able to send funds to unclaimed monies and quarantining of investor funds to be resent. Set tasks and process for distributions coming up.	Fund Administration
Renee Lobb	Senior Director	10-Nov-16	0.30	565	169.50	Trade-On	Review ICPAIF forex requirements for returned distributions due to be resent. summarise current ICPAIF currency holdings and estimate additional funds required to be put into the CBA accounts for distribution.	Fund Administration
Renee Lobb	Senior Director	18-Nov-16	0.30	565	169.50	Trade-On	Review returned distributions and account balances. send through suncorp transfer request to resend and advise investor.	Fund Administration
Renee Lobb	Senior Director	24-Nov-16	0.20	565	113.00	Investigations	Call with ASIC to discuss audit relief; how a final audit would look in the absence of interim year audits and possible avenues for relief. provide background to assist in discussion also as well as update on where the funds are at and timing for completion	ASIC

Neil Dempster	Consultant 1	28-Nov-16	0.30	305	91.50	Trade-On	Review correspondence from LCF securities - advise their current holding in the fund and confirm registry updated. provide email previously sent to confirm	Investor
Ashleigh Ubank	Administration 2	02-Dec-16	0.10	180	18.00	Administration	WIP proforma generated for code.	Remuneration
Renee Lobb	Senior Director	02-Dec-16	0.40	565	226.00	Administration	Collation of work in progress for John Park.	Remuneration
Renee Lobb	Senior Director	05-Dec-16	0.10	565	56.50	Administration	Finalise fee working for John Park.	Remuneration
Caroline Halcoop	Administration 2	09-Dec-16	0.20	180	36.00	Administration	CommBiz ICPAIF upload, approval from RCL and release	Fund Administration
Renee Lobb	Senior Director	19-Dec-16	0.50	565	282.50	Investigations	Review and draft details response to ASIC regarding audit relief sought, Answer 8 queries from ASIC on qualifications which would be made in the absence of annual audits, realisations which would occur in non-audit years, work the auditors would undertake	ASIC
Neil Dempster	Consultant 1	22-Dec-16	0.30	305	91.50	Trade-On	Email to Tracy Ansley with required docs for transfer for deceased estate after reviewing investor spreadsheet to locate other accounts she may hold	Investor
Renee Lobb	Senior Director	09-Jan-17	0.20	565	113.00	Trade-On	Reviewing First Mortgage Income Fund update, respond to investor email on pricing and confer with Kelly-Anne Trenfield on revision timing for NAV.	Fund Administration
Renee Lobb	Senior Director	12-Jan-17	0.10	565	56.50	Investigations	Review and send to Kelly-Anne Trenfield action plan following ASIC relief response. save response and print for file.	ASIC
Renee Lobb	Senior Director	23-Feb-17	0.20	565	113.00	Trade-On	Discussion with Ken at WMS regarding audit update and requirement for fund to be registered for GST.	Audit
Renee Lobb	Senior Director	29-Mar-17	0.10	570	57.00	Trade-On	Respond to pricing query.	Investor
Renee Lobb	Senior Director	03-Apr-17	0.30	570	171.00	Investigations	Review 26 page receiver report. send to Kelly-Anne Trenfield, John Park and Neil Dempster as FYI.	FMIF
Neil Dempster	Consultant 2	12-May-17	0.20	360	72.00	Trade-On	Provide transfer instructions re 100263383	Investor
Renee Lobb	Senior Director	22-May-17	0.10	570	57.00	Trade-On	Create spreadsheet of current assets for the fund for perpetual reconciliation request. send via email.	Fund Administration
Renee Lobb	Senior Director	30-May-17	0.10	570	57.00	Trade-On	Review email from perpetual regarding outstanding custody fees. check with Kelly-Anne Trenfield okay to pay from LM Investment Management Ltd.	Fund Administration
Renee Lobb	Senior Director	30-May-17	0.20	570	114.00	Administration	Call with Joshua at WMS after call with Kelly-Anne Trenfield regarding stopping work on ICPAIF and CPAIF, advise background and no funds at this stage.	Investor
Neil Dempster	Consultant 2	30-May-17	0.10	360	36.00	Trade-On	Email to Vanessa Jia Qi WAN re transfer times once forms provided	Investor
Neil Dempster	Consultant 2	31-May-17	0.20	360	72.00	Trade-On	Email to GT re ICPAIF audit	Audit
Neil Dempster	Consultant 2	05-Jun-17	0.20	360	72.00	Trade-On	Email to Michael Woods with update on icpaif - update register for email address link to BDO and FTI websites	Investor
Renee Lobb	Senior Director	13-Jun-17	0.20	570	114.00	Trade-On	Email suncorp regarding email from perpetual wanting to be taken off accounts. ask most efficient way to complete task. look up unit holdings and respond to ANZ query on same.	Fund Administration
Renee Lobb	Senior Director	16-Jun-17	0.20	570	114.00	Trade-On	Follow up suncorp regarding account changes to remove perpetual on their request. Call with Donna at suncorp regarding required documents to enable changes to account.	Fund Administration



Renee Lobb	Senior Director	20-Jun-17	0.10	570	57.00	Administration	Speak with Suncorp on custody release documents to make changes to account. request releases from perpetual to enable suncorp to action requests.	Fund Administration
Renee Lobb	Senior Director	04-Jul-17	0.30	570	171.00	Investigations	Reviewing First Mortgage Income Fund update by david Whyte an impact on feeders.	FMIF
Renee Lobb	Senior Director	07-Jul-17	0.10	570	57.00	Trade-On	Review, save, print and update fund payable register for WMS invoices received,	Fund Administration
Renee Lobb	Senior Director	11-Jul-17	0.10	570	57.00	Trade-On	Discussion with Kelly-Anne Trenfield regarding unpaid WMS fees. send account of same for consideration.	Fund Administration
Renee Lobb	Senior Director	13-Jul-17	0.30	570	171.00	Trade-On	Look for copies of WMS unpaid invoices in fil. Request ones not to hand from WMS to enable payment. arrange payment of those where invoice copies are held via LM Investment Management Ltd and update fund payable register. obtain additional invoices, save	Fund Administration
Renee Lobb	Senior Director	19-Jul-17	0.10	570	57.00	Trade-On	Review correspondence requested by resells for the fund and ensure Neil Dempster responded.	Legal
Ashleigh Ubank	Administration 2	03-Aug-17	0.10	185	18.50	Administration	WIP proforma/s generated for code.	Remuneration
Renee Lobb	Senior Director	03-Aug-17	0.20	570	114.00	Administration	Obtain WIP proforma for disbursements, review account for available funds. check with Kelly-Anne Trenfield okay to close account to disbursements. draft proforma for disbursements to 31/07/17 in readiness of closure.	Remuneration
Neil Dempster	Consultant 2	04-Aug-17	0.20	360	72.00	Trade-On	Call from ANZ Nilasari to discuss their position and transfer of investment requirements. Locate SGD investment 484527 and provide instructions on how to transfer this holding	Investor
Neil Dempster	Consultant 2	07-Aug-17	0.20	360	72.00	Trade-On	Pre-fill change of details form for DBS bank to make transfer from ANZ - note i have pre-filled. email ask them to sign off and return form	Investor
Neil Dempster	Consultant 2	07-Aug-17	0.20	360	72.00	Trade-On	Email to barry from clearstream global securities - provide brief update for ICPAIF - link info to Receivers and FTI website	Investor
Renee Lobb	Senior Director	10-Aug-17	0.20	570	114.00	Administration	Do letter for ICPAIF account close to LM Investment Management Ltd holding account. Send to Kelly-Anne Trenfield to sign. scan and send off correspondence.	Fund Administration
Neil Dempster	Consultant 2	11-Aug-17	0.10	360	36.00	Trade-On	Email to Nilasari Mohammed regarding outstanding transfers - DBS needs to sign off	Investor
Renee Lobb	Senior Director	14-Aug-17	0.20	570	114.00	Trade-On	Call with perpetual regarding account closure. send email to perpetual and suncorp with alternate options after found accounts cannot be closed with an EFT to a non-suncorp account.	Fund Administration
Renee Lobb	Senior Director	15-Aug-17	0.20	570	114.00	Trade-On	Do transfer request and send to perpetual to process pre account closure.	Fund Administration
Renee Lobb	Senior Director	15-Aug-17	0.20	570	114.00	Trade-On	Draft disbursements invoice request.	Remuneration
Neil Dempster	Consultant 2	15-Aug-17	0.20	360	72.00	Trade-On	Transfer ANZ to DBS Bank Limited - update register email to DBS confirming it has been done and units transferred	Fund Administration
Renee Lobb	Senior Director	17-Aug-17	0.30	570	171.00	Trade-On	Enter receipt in LM Investment Management Ltd account for account closure. send draft bill request to finance.	Fund Administration

Kelly-Anne Trenfield	Senior Managing Director	17-Aug-17	0.10	625	62.50	Administration	Email in from Gadens and quick review of statement of claim; email from and response to Russells re service and response to Gadens	Legal
Renee Lobb	Senior Director	18-Aug-17	0.20	570	114.00	Trade-On	Review email with draft invoice from finance. note rounding issue and correct invoice amount. review and print and send to Kelly-Anne Trenfield for signing amended invoice.	Remuneration
Renee Lobb	Senior Director	18-Aug-17	0.10	570	57.00	Trade-On	Respond to investor query.	Investor
Renee Lobb	Senior Director	21-Aug-17	0.20	570	114.00	Trade-On	Do entries for ICPAIF disbursement payment and save invoice to file.	Fund Administration
Renee Lobb	Senior Director	22-Aug-17	0.10	570	57.00	Trade-On	Check payment from LM Investment Management Ltd for ICPAIF disbursements closed to holding account. send to Kat with supporting docs.	Fund Administration
Renee Lobb	Senior Director	25-Aug-17	0.10	570	57.00	Administration	Save account closing statements to file.	Fund Administration
Renee Lobb	Senior Director	25-Aug-17	0.30	570	171.00	Trade-On	Call with Grant Thornton as receivers of fund for Western Union. discuss recent amended Whyte application and request times to have a call to discuss same at GT's' request. email Kelly-Anne Trenfield regarding available times after reading email	Legal
Renee Lobb	Senior Director	30-Aug-17	0.20	570	114.00	Investigations	Discuss with Kelly-Anne Trenfield requirement to review estimated return from First Mortgage Income Fund for feeder funds. look up unit holdings and calculate same before sending to Kelly-Anne Trenfield for Russells.	Legal
Kelly-Anne Trenfield	Senior Managing Director	30-Aug-17	0.10	625	62.50	Administration	Review RCL calcs re feeder fund distributions; forward to Russells	Legal
Renee Lobb	Senior Director	31-Aug-17	0.10	570	57.00	Investigations	Review Kelly-Anne Trenfield email to Russells regarding return and fund position for First Mortgage Income Fund feeder fund action.	Legal
Renee Lobb	Senior Director	31-Aug-17	0.30	570	171.00	Investigations	Teleconference with Kelly-Anne Trenfield and GT regarding feeder fund action. Discuss thereafter adding POD calling into Annual RTC and estimating costs of same.	Legal
Kelly-Anne Trenfield	Senior Managing Director	31-Aug-17	0.20	625	125.00	Administration	Teleconference with Gt's re who is to take control of proceedings call to Ashley to confirm	Legal
Renee Lobb	Senior Director	01-Sep-17	0.10	570	57.00	Investigations	Review correspondence issued to Gadens regarding receivers GT taking carriage of feeder fund action	Legal
Renee Lobb	Senior Director	06-Sep-17	0.10	570	57.00	Investigations	Review email from GT regarding Receivers running ICPAIF/CPAIF feeder action in defence to First Mortgage Income Fund proceedings. email Kelly-Anne Trenfield confirming she is happy to confirm correspondence issued to Gadens advising of position.	Legal
Renee Lobb	Senior Director	20-Sep-17	0.10	570	57.00	Trade-On	Respond to investor query.	Investor
Carly Young	Associate 1	20-Sep-17	2.20	260	572.00	Creditors	Review of WI entries. Preparation of remuneration report	Remuneration
Renee Lobb	Senior Director	22-Sep-17	0.10	570	57.00	Investigations	Reviewing letter from resells to HWL as lawyers for western union receivers. print and save to file.	Legal
Carly Young	Associate 1	26-Sep-17	1.80	260	468.00	Creditors	Remuneration report	Remuneration
Carly Young	Associate 1	27-Sep-17	0.60	260	156.00	Creditors	Updated disbursements and narrations in remuneration report.	Remuneration